

Hartree-type Approximation for $(\phi^4)_{1+1}$

Prof. Chueng Ji's group meeting

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sine-Gordon (1 + 1) in IFD

The lagrangian density is given by

$$\mathcal{L}_{SG} = \frac{m^4}{\lambda} \left[\frac{1}{2} (\partial_\mu \phi) (\partial^\mu \phi) + [\cos(\phi) - 1] \right], \quad (1)$$

then the static solution is,

$$\phi_{soliton} = 4 \operatorname{artan}(e^x), \quad (2)$$

$$\phi_{anti-soliton} = -4 \operatorname{artan}(e^x), \quad (3)$$

the energy (mass) of this Soliton in IFD,

$$M_{SG} = \frac{8m^3}{\lambda} \quad (4)$$

sine-Gordon (1 + 1) in the Interpolation

$$\mathcal{L} = \frac{m^4}{\lambda} \left[\frac{1}{2} [\mathbb{C}(\partial_{\hat{+}}\phi)^2 + 2\mathbb{S}\partial_{\hat{+}}\phi\partial_{\hat{-}}\phi - \mathbb{C}(\partial_{\hat{-}}\phi)^2] + [\cos(\phi) - 1] \right] \quad (5)$$

then the wave equation (EoM) is

$$[\mathbb{C}(\partial_{\hat{+}}^2 - \partial_{\hat{-}}^2) + 2\mathbb{S}\partial_{\hat{+}}\partial_{\hat{-}}]\phi = -\sin(\phi) \quad (6)$$

then,

$$\phi_{soliton} = 4 \operatorname{artan}(e^{\frac{\hat{x}}{\sqrt{\mathbb{C}}}}) \quad (7)$$

$$\phi_{anti-soliton} = -4 \operatorname{artan}(e^{\frac{\hat{x}}{\sqrt{\mathbb{C}}}}) \quad (8)$$

the energy (mass) of this Soliton in Interpolation,

$$M_{Sol} = \frac{4m^3}{\lambda} \left(\sqrt{\mathbb{C}} + \frac{1}{\sqrt{\mathbb{C}}} \right) \quad (9)$$

rescaling the interpolation spatial coordinate by

$$\tilde{x}^{\hat{-}} = \frac{\hat{x}}{\sqrt{\mathbb{C}}} \quad (10)$$

$T_{\mu\nu}$ for sine – Gordon(1 + 1)

$$\mathcal{L}_{SG} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{\alpha_0}{\beta^2} \cos \beta \phi + \gamma_0 \quad (11)$$

$$\square \phi + \frac{\alpha_0}{\beta^2} \sin \beta \phi = 0 \quad (12)$$

$$T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \mathcal{L} \quad (13)$$

$$T_{00} = \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi - \frac{\alpha_0}{\beta^2} \cos \beta \phi - \gamma_0$$

$$T_{01} = \partial_0 \phi \partial_1 \phi$$

$$T_{10} = \partial_1 \phi \partial_0 \phi$$

$$T_{11} = \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi + \frac{\alpha_0}{\beta^2} \cos \beta \phi + \gamma_0 \quad (14)$$

4 divergence of $T_{\mu\nu}$

$$\begin{aligned}
\partial^\mu T_{\mu\nu} &= \partial^\mu \left(\partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \left(\frac{1}{2} \partial_\rho \phi \partial^\rho \phi + \frac{\alpha_0}{\beta^2} \cos \beta \phi + \gamma_0 \right) \right) \\
&= \partial^\mu \partial_\mu \phi \partial_\nu \phi + \partial_\mu \phi \partial^\mu \partial_\nu \phi - \partial_\nu \left(\frac{1}{2} \partial_\rho \phi \partial^\rho \phi + \frac{\alpha_0}{\beta^2} \cos \beta \phi + \gamma_0 \right) \\
&= \square \phi \partial_\nu \phi + \partial_\mu \phi \partial^\mu \partial_\nu \phi - \frac{1}{2} \partial_\nu \partial_\rho \phi \partial^\rho \phi - \frac{1}{2} \partial_\rho \phi \partial_\nu \partial^\rho \phi + \frac{\alpha_0}{\beta^2} \sin(\beta \phi) \partial_\nu \phi \\
&= \square \phi \partial_\nu \phi + \frac{\alpha_0}{\beta^2} \sin(\beta \phi) \partial_\nu \phi \\
\partial^\mu T_{\mu\nu} &= \left[\square \phi + \frac{\alpha_0}{\beta^2} \sin(\beta \phi) \right] \partial_\nu \phi = 0 \tag{15}
\end{aligned}$$

Problems in $T_{\mu\nu}$ commutations

$$\begin{aligned}
 [T_{00}(x), T_{01}(x')] &= \frac{1}{2} (-2i\partial_0\phi(x)\partial_0\phi(x')\partial_{x'}\delta(x-x')) \\
 &\quad + \frac{1}{2} (2i\partial_x\phi(x)\partial_{x'}\phi(x')\partial_x\delta(x-x')) \\
 &\quad + \left(i\partial_x\phi(x)\frac{\alpha}{\beta}\sin(\beta\phi)\delta(x-x') \right)
 \end{aligned} \tag{16}$$

$$\begin{aligned}
 [T_{00}(x), T_{10}(x')] &= \frac{1}{2} (-2i\partial_0\phi(x)\partial_0\phi(x')\partial_{x'}\delta(x-x')) \\
 &\quad + \frac{1}{2} (2i\partial_x\phi(x)\partial_{x'}\phi(x')\partial_x\delta(x-x')) \\
 &\quad + \left(i\partial_x\phi(x)\frac{\alpha}{\beta}\sin(\beta\phi)\delta(x-x') \right)
 \end{aligned} \tag{17}$$

Klein-Gordon (1+1): $T_{\mu\nu}$

$$\mathcal{L}_{KG} = \frac{1}{2}\partial_\mu\phi\partial^\mu\phi - \frac{1}{2}m^2\phi^2, \quad (18)$$

$$\square\phi + \frac{1}{2}m^2\phi = 0, \quad (19)$$

$$T_{\mu\nu} = \partial_\mu\phi\partial_\nu\phi - g_{\mu\nu}\mathcal{L}, \quad (20)$$

4 divergence of $T_{\mu\nu}$,

$$\begin{aligned} \partial^\mu T_{\mu\nu} &= \partial^\mu(\partial_\mu\phi\partial_\nu\phi - g_{\mu\nu}(\frac{1}{2}\partial_\rho\phi\partial^\rho\phi - \frac{1}{2}m^2\phi^2)) \\ &= \partial^\mu\partial_\mu\phi\partial_\nu\phi + \partial_\mu\phi\partial^\mu\partial_\nu\phi - \partial_\nu(\frac{1}{2}\partial_\rho\phi\partial^\rho\phi - \frac{1}{2}m^2\phi^2) \\ &= \square\phi\partial_\nu\phi + \partial_\mu\phi\partial^\mu\partial_\nu\phi - \frac{1}{2}\partial_\nu\partial_\rho\phi\partial^\rho\phi - \frac{1}{2}\partial_\rho\phi\partial_\nu\partial^\rho\phi + \frac{1}{2}m^2\phi\partial_\nu\phi \\ &= \square\phi\partial_\nu\phi + \frac{1}{2}m^2\phi\partial_\nu\phi \\ \partial^\mu T_{\mu\nu} &= [\square\phi + \frac{1}{2}m^2\phi]\partial_\nu\phi = 0. \end{aligned} \quad (21)$$

Klein-Gordon (1+1): $T_{\mu\nu}$, $J_{\mu\nu}$, and Dilatation charge

$$T_{00} = T^{00} = \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi + \frac{1}{2} m^2 \phi^2, \quad (22)$$

$$T_{01} = -T^{01} = \partial_0 \phi \partial_1 \phi, \quad (23)$$

$$T_{10} = -T^{10} = \partial_1 \phi \partial_0 \phi, \quad (24)$$

$$T_{11} = T^{11} = \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi - \frac{1}{2} m^2 \phi^2 \quad (25)$$

$$J^{01} = \int dx^1 (x^0 T^{01} - x^1 T^{00}) \quad (26)$$

$$D = \int dx^1 x_\mu T^{0\mu} = \int dx^1 x_0 T^{00} + \int dx^1 x_1 T^{01} \quad (27)$$

$$D = t P^0 + \int dx^1 x_1 T^{01} \quad (28)$$

Klein-Gordon (1+1): Poincaré algebra

$$P^0 = \int dk^1 \omega (a^\dagger(k^1, m)a(k^1, m)) \quad (29)$$

$$P^1 = \int dk^1 k^1 (a^\dagger(k^1, m)a(k^1, m)) \quad (30)$$

Let's find the Equal- x^0 Commutation,

$$[P^0, P^1] = \int dk^1 \int dk'^1 \omega k'^1 \left[(a^\dagger(k^1, m)a(k^1, m)), (a^\dagger(k'^1, m)a(k'^1, m)) \right]$$

$$[P^0, P^1] = 0 \quad (31)$$

$$[P^\mu, P^\nu] = 0 \quad \checkmark \quad (32)$$

$J_{\mu\nu}$ in IFD; Klein-Gordon (1+1)

The Boost operator (K^1) will be,

$$\begin{aligned}
 J^{01} &= \int dx^1 (x^0 T^{01} - x^1 T^{00}) \\
 J^{01} &= t P^1 - \int dx^1 (x^1 T^{00})
 \end{aligned} \tag{33}$$

to evaluate $\int dx^1 (x^1 T^{00})$, let's find the space-time evaluation of $a(k^1)$ in Heisenberg's Picture.

$$a(k^1, x^\mu) = e^{iP_\mu x^\mu} a(k^1, 0) e^{-iP_\mu x^\mu} \tag{34}$$

$$a(k^1, x^1) = e^{iP_1 \cdot x^1} a(k^1, 0) e^{-iP_1 \cdot x^1} \tag{35}$$

$$\frac{\partial}{\partial x^1} a(k^1, x^1) = iP_1 e^{iP_1 \cdot x^1} a(k^1, 0) e^{-iP_1 \cdot x^1} - e^{iP_1 \cdot x^1} a(k^1, 0) iP_1 e^{-iP_1 \cdot x^1} \tag{36}$$

$$\frac{\partial}{\partial x^1} a(k^1, x^1) = ie^{iP_1 \cdot x^1} [P_1, a(k^1, 0)] e^{-iP_1 \cdot x^1} = -ik_1 e^{iP_1 \cdot x^1} a(k^1, 0) e^{-iP_1 \cdot x^1}$$

$$\frac{\partial}{\partial x^1} a(k^1, x^1) = -ik a(k^1, x^1) \tag{37}$$

$$\tag{38}$$

$J_{\mu\nu}$ in IFD; Klein-Gordon (1+1)

$$\implies a(k^1, x^1) = e^{-ik_1 \cdot x^1} a(k^1, 0) \quad (39)$$

$$\implies a(k^1, 0) = e^{ik_1 \cdot x^1} a(k^1, x^1) = e^{-ik^1 \cdot x^1} a(k^1, x^1) \quad (40)$$

$$\implies \frac{\partial}{\partial k_1} a(k^1, 0) = ix^1 e^{ik_1 \cdot x^1} a(k^1, x^1) = ix^1 a(k^1, 0) \quad (41)$$

$$(42)$$

we found that $\int dx^1 T^{00} = \int dk^1 \omega (a^\dagger(k^1, m)a(k^1, m))$, so

$$\int dx^1 (x^1 T^{00}) = -i \int dk^1 \omega \left(a^\dagger(k^1, m) \frac{\partial}{\partial k_1} a(k^1, m) \right) \quad (43)$$

then,

$$J^{01} = t P^1 - \int dx^1 (x^1 T^{00})$$

$$J^{01} = t P^1 + i \int dk^1 \omega \left(a^\dagger(k^1, m) \frac{\partial}{\partial k_1} a(k^1, m) \right) \quad (44)$$

$$J^{10} = -i \int dk^1 \omega \left(a^\dagger(k^1, m) \frac{\partial}{\partial k_1} a(k^1, m) \right) - t P^1 \quad (45)$$

$J_{\mu\nu}$ in IFD; Klein-Gordon (1+1)

Now, the commutation relation between J^{01} and P^μ will be

$$\begin{aligned}
 [J^{01}, P^0] &= \int dk^1 \omega [(J^{01}), (a^\dagger(k^1, m)a(k^1, m))] \\
 &= \int dk^1 \omega (a^\dagger(k^1, m) [(J^{01}), a(k^1, m)] + [(J^{01}), a^\dagger(k^1, m)] a(k^1, m)) \\
 &= -i \int dk^1 \omega \omega \left(a^\dagger(k^1, m) \left(\frac{\partial}{\partial k_1} a(k^1, m) \right) + \left(\frac{\partial}{\partial k_1} a^\dagger(k^1, m) \right) a(k^1, m) \right) \\
 &= -i \int dk^1 \omega \omega \frac{\partial}{\partial k_1} (a^\dagger(k^1, m)a(k^1, m)) \\
 &= i \int dk^1 \omega \frac{\partial \omega}{\partial k_1} (a^\dagger(k^1, m)a(k^1, m)) \\
 &= -i \int dk^1 \omega \frac{\partial \omega}{\partial k^1} (a^\dagger(k^1, m)a(k^1, m)) = -i \int dk^1 \omega \left(\frac{k}{\omega} a^\dagger(k^1)a(k^1) \right) \\
 &= -i \int dk^1 k (a^\dagger(k^1)a(k^1)) = -i P^1 \\
 [J^{01}, P^0] &= -i P^1 \quad \checkmark
 \end{aligned}$$

Klein-Gordon (1+1): Poincaré algebra

similarly,

$$[J^{01}, P^1] = -i P^0 \quad \checkmark \quad (48)$$

$$[J^{10}, P^0] = i P^1 \quad \checkmark \quad (49)$$

$$[J^{10}, P^1] = i P^0 \quad \checkmark \quad (50)$$

$$[J^{\lambda\sigma}, P^\mu] = i (g^{\sigma\mu} P^\lambda - g^{\lambda\mu} P^\sigma) \quad \checkmark \quad (51)$$

$$[D, P^0] = -i P^0 \quad \checkmark \quad (52)$$

$$[D, P^1] = -i P^1 \quad \checkmark \quad (53)$$

$$[D, P^\mu] = -i P^\mu \quad \checkmark \quad (54)$$

$$[D, J^{01}] = [D, J^{10}] = 0 \quad \checkmark \quad (55)$$

$$[P^\mu, P^\nu] = 0 \quad \checkmark \quad (56)$$

$$[J^{01}, J^{01}] = 0 \quad \checkmark \quad (57)$$

$$[J^{\lambda\sigma}, J^{\mu\nu}] = i g^{\lambda\mu} J^{\nu\sigma} - i g^{\lambda\nu} J^{\mu\sigma} + i g^{\mu\sigma} J^{\lambda\nu} - i g^{\nu\sigma} J^{\lambda\mu} \quad \checkmark \quad (58)$$

Klein-Gordon (1+1): Poincaré algebra in Interpolation

$$\mathcal{L}_{KG} = \frac{1}{2} [\mathbb{C}(\partial_{\hat{+}}\phi)^2 + 2\mathbb{S}\partial_{\hat{+}}\phi\partial_{\hat{-}}\phi - \mathbb{C}(\partial_{\hat{-}}\phi)^2] - \frac{1}{2}m^2\phi^2 \quad (59)$$

$$\phi(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \hat{\theta}(k_{\hat{-}}) \frac{dk_{\hat{-}}}{\sqrt{2k_{\hat{+}}}} [a(k_{\hat{-}}, m)e^{-ikx} + a^{\dagger}(k_{\hat{-}}, m)e^{ikx}], \quad (60)$$

$$\partial_{\hat{+}}\phi = -i \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \hat{\theta}(k_{\hat{-}}) \frac{dk_{\hat{-}}}{\sqrt{2k_{\hat{+}}}} k_{\hat{+}} [a(k_{\hat{-}}, m)e^{-ikx} - a^{\dagger}(k_{\hat{-}}, m)e^{ikx}], \quad (61)$$

$$\partial_{\hat{-}}\phi = -i \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \hat{\theta}(k_{\hat{-}}) \frac{dk_{\hat{-}}}{\sqrt{2k_{\hat{+}}}} k_{\hat{-}} [a(k_{\hat{-}}, m)e^{-ikx} - a^{\dagger}(k_{\hat{-}}, m)e^{ikx}], \quad (62)$$

$$\mathcal{P}_{\hat{+}} = \frac{\partial \mathcal{L}}{\partial \partial_{\hat{+}}\phi} \partial_{\hat{+}}\phi - \mathcal{L}$$

$$P_{\hat{+}} = \int dx \hat{-} \left(\frac{\mathbb{C}}{2} (\partial_{\hat{+}}\phi)^2 + \frac{\mathbb{C}}{2} (\partial_{\hat{-}}\phi)^2 + \frac{1}{2} m^2 \phi^2 \right) \quad (63)$$

Klein-Gordon (1+1): Poincaré algebra in Interpolation

I'm directly writing the results from the Hornbostel(1992),
The Hamiltonian and momentum in terms of creation and annihilation operators is,

$$P_{\hat{\dagger}} = \int_{-\infty}^{+\infty} dk_{\hat{\dagger}} [k_{\hat{\dagger}}] (a^{\dagger}(k_{\hat{\dagger}})a(k_{\hat{\dagger}})) = \int_{-\infty}^{+\infty} dk_{\hat{\dagger}} \left[\frac{\omega_k - \mathbb{S}k_{\hat{\dagger}}}{\mathbb{C}} \right] (a^{\dagger}(k_{\hat{\dagger}})a(k_{\hat{\dagger}})) \quad (64)$$

$$P_{\hat{\lrcorner}} = \int_{-\infty}^{+\infty} dk_{\hat{\lrcorner}} [k_{\hat{\lrcorner}}] (a^{\dagger}(k_{\hat{\lrcorner}})a(k_{\hat{\lrcorner}})) = \int_{-\infty}^{+\infty} dk_{\hat{\lrcorner}} \left[\frac{\omega_k - \mathbb{C}k_{\hat{\lrcorner}}}{\mathbb{S}} \right] (a^{\dagger}(k_{\hat{\lrcorner}})a(k_{\hat{\lrcorner}})) \quad (65)$$

we can easily show that,

$$[P_{\hat{\dagger}}, P_{\hat{\lrcorner}}] = 0 \quad \checkmark \quad (66)$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

$$\mathcal{L}_{\phi^4} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} \phi^4, \quad (67)$$

$$\square \phi + m^2 \phi = \frac{m^2 \beta^2}{3!} \phi^3, \quad (68)$$

$$T_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \mathcal{L}, \quad (69)$$

4 divergence of $T_{\mu\nu}$,

$$\begin{aligned} \partial^\mu T_{\mu\nu} &= \partial^\mu (\partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} (\frac{1}{2} \partial_\rho \phi \partial^\rho \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} \phi^4)) \\ &= \square \phi \partial_\nu \phi + \partial_\mu \phi \partial^\mu \partial_\nu \phi - \frac{1}{2} \partial_\nu \partial_\rho \phi \partial^\rho \phi - \frac{1}{2} \partial_\rho \phi \partial_\nu \partial^\rho \phi + m^2 \phi \partial_\nu \phi \\ &\quad - \frac{m^2 \beta^2}{3!} \phi^3 \partial_\nu \phi \\ \partial^\mu T_{\mu\nu} &= [\square \phi + \frac{1}{2} m^2 \phi - \frac{m^2 \beta^2}{3!} \phi^3] \partial_\nu \phi = 0. \end{aligned} \quad (70)$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

$$\begin{aligned}
 T_{00} = T^{00} &= \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi + \frac{1}{2} m^2 \phi^2 - \frac{m^2 \beta^2}{4!} \phi^4, \\
 T_{01} = -T^{01} &= \partial_0 \phi \partial_1 \phi, \\
 T_{10} = -T^{10} &= \partial_1 \phi \partial_0 \phi, \\
 T_{11} = T^{11} &= \frac{1}{2} \partial_0 \phi \partial_0 \phi + \frac{1}{2} \partial_1 \phi \partial_1 \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} \phi^4. \quad (71)
 \end{aligned}$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

and our field is,

$$\phi(x) = \int \frac{dk^1}{\sqrt{2\pi}} \frac{1}{\sqrt{2\omega(k^1, m)}} [a(k^1, m)e^{-ikx} + a^\dagger(k^1, m)e^{ikx}] \quad (72)$$

There is no change in P^1 ,

$$H' = - \int dx^1 \frac{m^2 \beta^2}{4!} \phi^4 = - \frac{\lambda}{4!} \int dx^1 \phi^4 \quad (73)$$

$$\begin{aligned} H' = & - \frac{\lambda}{4!} \frac{1}{16\pi^2} \int dx dk^1 dk^2 dk^3 dk^4 \frac{1}{\sqrt{\omega^1 \omega^2 \omega^3 \omega^4}} \\ & \times \left([a(k^1)e^{-ik^1x} + a^\dagger(k^1)e^{ik^1x}] \times [a(k^2)e^{-ik^2x} + a^\dagger(k^2)e^{ik^2x}] \right. \\ & \left. \times [a(k^3)e^{-ik^3x} + a^\dagger(k^3)e^{ik^3x}] \times [a(k^4)e^{-ik^4x} + a^\dagger(k^4)e^{ik^4x}] \right) \quad (74) \end{aligned}$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

$$\begin{aligned}
H' = N[H'] - \frac{\lambda}{4!} \frac{1}{16\pi^2} \int dx dk^1 dk^2 dk^3 dk^4 \frac{1}{\sqrt{\omega^1 \omega^2 \omega^3 \omega^4}} \\
\times \left(a(k^1) a(k^2) a^\dagger(k^3) a^\dagger(k^4) e^{-i(k^1+k^2-k^3-k^4)x} \right. \\
\left. + a(k^1) a^\dagger(k^2) a(k^3) a^\dagger(k^4) e^{-i(k^1-k^2+k^3-k^4)x} \right) \quad (75)
\end{aligned}$$

$$\begin{aligned}
H' = N[H'] - \frac{\lambda}{4!} \frac{1}{16\pi^2} \int dx dk^1 dk^2 dk^3 dk^4 \frac{1}{\sqrt{\omega^1 \omega^2 \omega^3 \omega^4}} \\
\times \left(a(k^1) a^\dagger(k^4) \delta(k^2 - k^3) e^{-i(k^1+k^2-k^3-k^4)x} \right. \\
+ a(k^2) a^\dagger(k^4) \delta(k^1 - k^3) e^{-i(k^1+k^2-k^3-k^4)x} \\
\left. + \delta(k^1 - k^2) a(k^3) a^\dagger(k^4) e^{-i(k^1-k^2+k^3-k^4)x} \right) \quad (76)
\end{aligned}$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

$$\begin{aligned}
 H' = N[H'] - \frac{\lambda}{4!} \frac{1}{16\pi^2} \int dx \frac{1}{\sqrt{\omega^1 \omega^2 \omega^3 \omega^4}} \\
 \times \left(\int dk^1 dk^2 dk^4 a(k^1) a^\dagger(k^4) e^{-i(k^1 - k^4)x} \right. \\
 + \int dk^1 dk^2 dk^4 a(k^2) a^\dagger(k^4) e^{-i(k^2 - k^4)x} \\
 \left. + \int dk^1 dk^3 dk^4 a(k^3) a^\dagger(k^4) e^{-i(k^3 - k^4)x} \right) \quad (77)
 \end{aligned}$$

$$\begin{aligned}
 H' = N[H'] - \frac{\lambda}{4!} \frac{1}{16\pi^2} \frac{1}{\sqrt{\omega^1 \omega^2 \omega^3 \omega^4}} \\
 \times \left(\int dk^1 dk^2 dk^4 a(k^1) a^\dagger(k^4) \delta(k^1 - k^4) 2\pi \right. \\
 + \int dk^1 dk^2 dk^4 a(k^2) a^\dagger(k^4) \delta(k^2 - k^4) 2\pi \\
 \left. + \int dk^1 dk^3 dk^4 a(k^3) a^\dagger(k^4) \delta(k^3 - k^4) 2\pi \right) \quad (78)
 \end{aligned}$$

$T_{\mu\nu}$: for $(\phi^4)_{1+1}$ scalar field

$$\begin{aligned}
 H' = N[H'] - \frac{\lambda}{4!} \frac{1}{8\pi} & \left[\frac{1}{\omega^1 \omega^2} \int dk^1 dk^2 (a(k^1) a^\dagger(k^1) + a(k^2) a^\dagger(k^2)) \right. \\
 & \left. + \frac{1}{\omega^1 \omega^3} \int dk^1 dk^3 a(k^3) a^\dagger(k^3) \right] \quad (79)
 \end{aligned}$$

$$\begin{aligned}
 H' = N[H'] - \frac{\lambda}{4!} \frac{1}{8\pi} & \left[\frac{1}{\omega \omega'} \int dk dk' (a(k) a^\dagger(k) + a(k') a^\dagger(k')) \right. \\
 & \left. + \frac{1}{\omega \omega''} \int dk dk'' a(k'') a^\dagger(k'') \right] \quad (80)
 \end{aligned}$$

Using the Hartree Approximation and Bogoliubov transformation.

$$\mathcal{L}_{\phi^4} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} \phi^4 \quad (81)$$

$$\phi^4 \longrightarrow 6\phi^2 \langle \phi^2 \rangle - 3 \langle \phi^2 \rangle^2 \quad (82)$$

$$\mathcal{L}_{\phi^4} \longrightarrow \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} (6\phi^2 \langle \phi^2 \rangle - 3 \langle \phi^2 \rangle^2) \quad (83)$$

$$\mathcal{L}_{\phi^4} \longrightarrow \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 + \frac{m^2 \beta^2}{4!} 6\phi^2 \langle \phi^2 \rangle \quad (84)$$

$$\mathcal{L}_{\phi^4} \longrightarrow \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} M \phi^2 \quad (85)$$

where, $M = m^2 - \frac{m^2 \beta^2}{2} \langle \phi^2 \rangle$

Bogoliubov transformation

For the free field operators, the change of mass $m \rightarrow M$ of particles is described by the Bogoliubov-Valatin transformation:

$$a_M(k^1) = \frac{1}{2} \left(\sqrt{\frac{\omega_m}{\omega_M}} + \sqrt{\frac{\omega_M}{\omega_m}} \right) a_m(k^1) - \frac{1}{2} \left(\sqrt{\frac{\omega_m}{\omega_M}} - \sqrt{\frac{\omega_M}{\omega_m}} \right) a_m^\dagger(-k^1) \quad (86)$$

$$a_M^\dagger(k^1) = \frac{1}{2} \left(\sqrt{\frac{\omega_m}{\omega_M}} + \sqrt{\frac{\omega_M}{\omega_m}} \right) a_m^\dagger(k^1) - \frac{1}{2} \left(\sqrt{\frac{\omega_m}{\omega_M}} - \sqrt{\frac{\omega_M}{\omega_m}} \right) a_m(-k^1) \quad (87)$$

we can show that the operators of creation and annihilation of the particle of mass M and momentum k^1 also satisfy the commutation relations,

$$[a_M(k^1), a_M^\dagger(k^{1'})] = \delta(k^1 - k^{1'}) \quad (88)$$

$$[a_M(k^1), a_M(k^{1'})] = 0 ; [a_M^\dagger(k^1), a_M^\dagger(k^{1'})] = 0 \quad (89)$$

Bogoliubov transformation

let $\lambda(k^1) = \frac{1}{2} \ln \frac{\omega_m(k^1)}{\omega_M(k^1)}$ in general $\frac{1}{2} \ln \frac{\omega(k^1)}{\omega(k^1, t)}$, where $\omega(k^1) = \sqrt{k^2 + t^2 m^2}$. then,

$$\frac{1}{2} \left(\sqrt{\frac{\omega_m(k^1)}{\omega_M(k^1)}} + \sqrt{\frac{\omega_M(k^1)}{\omega_m(k^1)}} \right) = \frac{e^{\frac{1}{2} \ln \frac{\omega(k^1)}{\omega(k^1, t)}} + e^{-\frac{1}{2} \ln \frac{\omega(k^1)}{\omega(k^1, t)}}}{2} = \cosh(\lambda), \quad (90)$$

$$\frac{1}{2} \left(\sqrt{\frac{\omega_m(k^1)}{\omega_M(k^1)}} - \sqrt{\frac{\omega_M(k^1)}{\omega_m(k^1)}} \right) = \frac{e^{\frac{1}{2} \ln \frac{\omega(k^1)}{\omega(k^1, t)}} - e^{-\frac{1}{2} \ln \frac{\omega(k^1)}{\omega(k^1, t)}}}{2} = \sinh(\lambda), \quad (91)$$

then,

$$a_m(k^1) \longrightarrow a_M(k^1) = a_m(k^1) \cosh(\lambda) - a_m^\dagger(-k^1) \sinh(\lambda), \quad (92)$$

$$a_m^\dagger(k^1) \longrightarrow a_M^\dagger(k^1) = a_m^\dagger(k^1) \cosh(\lambda) - a_m(-k^1) \sinh(\lambda). \quad (93)$$

Bogoliubov transformation

This transformation is unitary if and only if there exists a unitary operator U such that

$$a_M(k^1) = U a_m(k^1) U^{-1}, \quad (94)$$

$$a_M^\dagger(k^1) = U a_m^\dagger(k^1) U^{-1}. \quad (95)$$

it is fulfilled with the following choice, (QFT Lecture Notes of M. Blasone)

$$U = \exp \left(\frac{-1}{2} \int dk^1 \lambda(k^1) [a_m(-k^1) a_m(k^1) - a_m^\dagger(k^1) a_m^\dagger(-k^1)] \right) \quad \checkmark \quad (96)$$

Bogoliubov transformation

From the QFT Lecture Notes of M. Blasone and Hiroomi Umezawa's Thermo Field Book,

$$a_M(k^1) |\Omega\rangle = U a_m(k^1) U^{-1} |\Omega\rangle = 0 \quad (97)$$

$$\implies a_m(k^1) U^{-1} |\Omega\rangle = 0 \quad (98)$$

$$\text{also, } a_m(k^1) |0\rangle = 0 \quad (99)$$

$$\implies |0\rangle = U^{-1} |\Omega\rangle \quad (100)$$

$$\implies |\Omega\rangle = U |0\rangle \quad (101)$$

$$|\Omega\rangle = \left[\exp\left(-\frac{1}{2}\delta(0) \int dk^1 \log \cosh \lambda\right) \exp\left(\frac{1}{2}\delta(0) \int dk^1 \tanh \lambda a_m^\dagger(k^1) a_m^\dagger(-k^1)\right) \right] |0\rangle \quad (102)$$

Hartree-type Approximation

$$x = \frac{x_0}{\sqrt{2}}(a + a^\dagger) \quad (103)$$

$$\langle x \rangle = \frac{x_0}{\sqrt{2}}(\langle 0| a |0\rangle + \langle 0| a^\dagger |0\rangle) = 0 \quad (104)$$

$$\langle x^2 \rangle = \frac{x_0^2}{2} \langle 0| (aa + aa^\dagger + a^\dagger a + a^\dagger a^\dagger) |0\rangle = \frac{x_0^2}{2} \langle 0| (1 + N) |0\rangle = \frac{x_0^2}{2} \quad (105)$$

$$\begin{aligned} \langle x^3 \rangle &= \frac{x_0^3}{2\sqrt{2}} \langle 0| (\dots + aa^\dagger a^\dagger + aaa^\dagger + \dots) |0\rangle \\ &= \frac{x_0^3}{2\sqrt{2}} \langle 0| (\dots + (1 + N)a^\dagger + a(1 + N) + \dots) |0\rangle = 0 \end{aligned} \quad (106)$$

$$\begin{aligned} \langle x^4 \rangle &= \frac{x_0^4}{4} \langle 0| (\dots + aaaa^\dagger + aa^\dagger a^\dagger a^\dagger + aaa^\dagger a^\dagger + aa^\dagger aa^\dagger + \dots) |0\rangle \\ &= \frac{x_0^4}{4} \langle 0| (\dots + (aa(1 + N)) + ((1 + N)a^\dagger a^\dagger) + (a(1 + N)a^\dagger) + ((1 + N)aa^\dagger) + \dots) |0\rangle \end{aligned}$$

$$\langle x^4 \rangle = \frac{x_0^4}{4} \langle 0| (\dots + 0 + 0 + 2 + 1 + \dots) |0\rangle = \frac{3x_0^4}{4} \quad (107)$$

Hartree-type Approximation

$$x^3 \longrightarrow 3\langle x^2 \rangle x - 2\langle x \rangle^3 \quad (108)$$

$$\langle x^3 \rangle = 0 \quad (109)$$

$$\langle (3\langle x^2 \rangle x - 2\langle x \rangle^3) \rangle = 3\langle x^2 \rangle \langle x \rangle - 2\langle x \rangle^3 = 0 \quad (110)$$

also,

$$x^4 \longrightarrow 6\langle x^2 \rangle x^2 - 3\langle x^2 \rangle^2 \quad (111)$$

$$\langle x^4 \rangle = \frac{3x_0^4}{4} \quad (112)$$

$$\langle (6\langle x^2 \rangle x^2 - 3\langle x^2 \rangle^2) \rangle = 6\langle x^2 \rangle \langle x^2 \rangle - 3\langle x^2 \rangle^2 = \frac{6x_0^4}{4} - \frac{3x_0^4}{4} = \frac{3x_0^4}{4} \quad (113)$$

Hartree-type Approximation for \mathcal{L}_{ϕ^4}

$$\mathcal{L}_{\phi^4} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{4} g (\phi^2 - c^2)^2 \quad (114)$$

$$\square \phi + g (\phi^2 - c^2) \phi = 0 \quad (115)$$

we can separate ϕ into a c-number part ϕ_c and an operator part ϕ_q through

$$\phi = \phi_c + \phi_q \quad (116)$$

with

$$\phi_c = \langle \phi \rangle \quad (117)$$

and

$$\langle \phi_q \rangle = 0 \quad (118)$$

then the EOM,

$$\square \phi + g \phi^3 - g c^2 \phi = 0 \quad (119)$$

Hartree-type Approximation for \mathcal{L}_{ϕ^4}

we make the Hartree-type approximation (Phys. Rev. D12, 1071 (1975))

$$\phi^3 \longrightarrow 3\langle\phi^2\rangle\phi - 2\langle\phi\rangle^3 \quad (120)$$

then,

$$\square\phi + g(3\langle\phi^2\rangle\phi - 2\langle\phi\rangle^3) - gc^2\phi = 0 \quad (121)$$

$$\square\phi + g(3\langle\phi^2\rangle - c^2)\phi - 2g\langle\phi\rangle^3 = 0 \quad (122)$$

plug, $\phi = \phi_c + \phi_q$ in the above relation. Then,

$$\square\phi_c + \square\phi_q + g(3(\phi_c^2 + \langle\phi_q^2\rangle) - c^2)\phi_c + g(3(\phi_c^2 + \langle\phi_q^2\rangle) - c^2)\phi_q - 2g\phi_c^3 = 0 \quad (123)$$

$$\square\phi_c + \square\phi_q + g(\phi_c^2 - c^2)\phi_c + 3g\langle\phi_q^2\rangle\phi_c + g(3\phi_c^2 - c^2)\phi_q + 3g\langle\phi_q^2\rangle\phi_q = 0 \quad (124)$$

Hartree-type Approximation for \mathcal{L}_{ϕ^4}

then the above equation can be separated into two equations

$$\square \phi_c + g (\phi_c^2 - c^2) \phi_c + 3g \langle \phi_q^2 \rangle \phi_c = 0 \quad (125)$$

$$\square \phi_q + g (3\phi_c^2 - c^2) \phi_q + 3g \langle \phi_q^2 \rangle \phi_q = 0 \quad (126)$$

Equation (125) is ac- number equation, and (126) is linear in the field operator ϕ_q . It is now possible to expand ϕ_q as combination of creation and annihilation operators

$$\phi_q = \sum_n [\psi_n^*(x) e^{i\omega_n t} a_n^\dagger + \psi_n(x) e^{-i\omega_n t} a_n] \quad (127)$$

then

$$(-\omega_m^2 - \nabla^2) \psi_n + g (3\phi_c^2 - c^2) \psi_n + 3g \left(\sum_n C_n \psi_n^2 \right) \psi_n \quad (128)$$

Hartree-type Approximation for \mathcal{L}_{ϕ^4}

The wave function are normalized by

$$2\omega_n \int dx \psi_{n'}^*(x) \psi_n(x) = \delta_{n'n} \quad (129)$$

for the vacuum reference state,

$$\langle \phi_q^2(x) \rangle = \sum_n \psi_n^*(x) \psi_n(x) \quad (130)$$

For an arbitrary n-particle reference state with occupation number (N_1, N_2, \dots) , we have

$$\langle \phi_q^2(x) \rangle = 2 \sum_n (N_n + \frac{1}{2}) \psi_n^*(x) \psi_n(x) \quad (131)$$

Hamiltonian

The Hamiltonian associated with the \mathcal{L}_{ϕ^4} is given by

$$\mathcal{H} = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}(\nabla\phi)^2 + \frac{1}{4}g(\phi^2 - c^2)^2 \quad (132)$$

under the splitting $\phi = \phi_c + \phi_q$, we can decompose the Hamiltonian into three parts

$$\begin{aligned} H = \int dx & \left[\left[\frac{1}{2}\dot{\phi}_c^2 + \frac{1}{2}(\nabla\phi_c)^2 + \frac{1}{4}g(\phi_c^2 - c^2)^2 \right] \right. \\ & + \left[\dot{\phi}_c\dot{\phi}_q + \nabla\phi_c\nabla\phi_q + g(\phi_c^2 - c^2)\phi_c\phi_q + g\phi_c\phi_q^3 \right] \\ & \left. + \left[\frac{1}{2}\dot{\phi}_q^2 + \frac{1}{2}(\nabla\phi_q)^2 + \frac{1}{2}g(3\phi_c^2 - c^2)\phi_q^2 + \frac{1}{4}g\phi_q^4 \right] \right] \quad (133) \end{aligned}$$

$$H = H_c + H_{cq} + H_q \quad (134)$$

Hamiltonian

make the Hartree-type approximation (Phys. Rev. D12, 1071 (1975))

$$\phi^3 \longrightarrow 3\langle \phi^2 \rangle \phi - 2\langle \phi \rangle^3 \quad (135)$$

$$\phi_q^4 \longrightarrow 6\langle \phi_q^2 \rangle \phi_q^2 - 3\langle \phi_q^2 \rangle^2 \quad (136)$$

under these approximation, we have

$$H_{cq} = \int dx \left[\dot{\phi}_c \dot{\phi}_q + \nabla \phi_c \nabla \phi_q + g(\phi_c^2 - c^2) \phi_c \phi_q + g \phi_c \phi_q^3 \right] \quad (137)$$

$$= \int dx \left[\dot{\phi}_c \dot{\phi}_q + \nabla(\phi_q \nabla \phi_c) - (\nabla^2 \phi_c) \phi_q + g(\phi_c^2 - c^2) \phi_c \phi_q + g \phi_c 3\langle \phi_q^2 \rangle \phi_q \right] \quad (138)$$

$$= \int dx \left[\dot{\phi}_c \dot{\phi}_q + \nabla(\phi_q \nabla \phi_c) + (-\nabla^2 \phi_c) \phi_q + g(\phi_c^2 - c^2) \phi_c + g \phi_c 3\langle \phi_q^2 \rangle \right] \phi_q \quad (139)$$

$$H_{cq} = \int dx \left[\dot{\phi}_c \dot{\phi}_q + \nabla(\phi_q \nabla \phi_c) - \ddot{\phi}_c \phi_q \right] \quad (140)$$

Hamiltonian

also,

$$H_q = \int dx \left[\frac{1}{2} \dot{\phi}_q^2 + \frac{1}{2} (\nabla \phi_q)^2 + \frac{1}{2} g(3\phi_c^2 - c^2) \phi_q^2 + \frac{1}{4} g(6\langle \phi_q^2 \rangle \phi_q^2 - 3\langle \phi_q^2 \rangle^2) \right] \quad (141)$$

$$= \int dx \left[\frac{1}{2} \dot{\phi}_q^2 + \frac{1}{2} (\nabla \phi_q)^2 + \frac{1}{2} g(3\phi_c^2 - c^2) \phi_q^2 + \frac{1}{2} 3g\langle \phi_q^2 \rangle \phi_q^2 - \frac{3}{4} g\langle \phi_q^2 \rangle^2 \right] \quad (142)$$

$$= \int dx \left[\frac{1}{2} \dot{\phi}_q^2 + \frac{1}{2} (\nabla \phi_q)^2 + \frac{1}{2} (g(3\phi_c^2 - c^2) \phi_q + 3g\langle \phi_q^2 \rangle \phi_q) \phi_q - \frac{3}{4} g\langle \phi_q^2 \rangle^2 \right] \quad (143)$$

$$H_q = \int dx \left[\frac{1}{2} \dot{\phi}_q^2 + \frac{1}{2} (\nabla \phi_q)^2 - \frac{1}{2} (\square \phi_q) \phi_q - \frac{3}{4} g\langle \phi_q^2 \rangle^2 \right] \quad (144)$$

Hamiltonian

The energy associated with the reference state is given by

$$E = \int dx \left[\frac{1}{2} \dot{\phi}_c^2 + \frac{1}{2} (\nabla \phi_c)^2 + \frac{1}{4} g (\phi_c^2 - c^2)^2 \right] + \sum_n (N_n + \frac{1}{2}) \omega_n - \frac{3}{4} g \int dx \langle \phi_q^2 \rangle^2 \quad (145)$$

Variational Principle

A time independent solution $\phi_c(x)$ for a given reference state can be obtained by the Variational Principle,

In other words, the requirement

$$\frac{\delta E}{\delta \phi_c} = 0 \quad (146)$$

The energy associated with the reference state is given by

$$\begin{aligned}
 E = \sum_n \frac{C_n \omega_n}{2} + \int dx \left[\right. \\
 + \frac{1}{2} (\nabla \phi_c)^2 + \frac{1}{4} g (\phi_c^2 - c^2)^2 + \frac{1}{2} \sum_n C_n \psi_n^* (-\omega_n^2 - \nabla^2) \psi_n \\
 \left. + \frac{1}{2} g (3\phi_c^2 - c^2) \sum_n C_n \psi_n^2 + \frac{3}{4} g \left(\sum_n C_n \psi_n^2 \right)^2 \right] \quad (147)
 \end{aligned}$$

with $C_n = 2(N_n + \frac{1}{2})$

Variational Principle

Now,

$$\begin{aligned} \frac{\delta E}{\delta \phi_c(x)} = & \left(\frac{\delta E}{\delta \phi_c(x)} \right)_{\text{explicit}} + \sum_n \frac{\delta E}{\delta \omega} \frac{\delta \omega}{\delta \phi_c(x)} + \sum_n \int dy \frac{\delta E}{\delta \psi_n(y)} \frac{\delta \psi_n(y)}{\delta \phi_c(x)} \\ & + \sum_n \int dy \frac{\delta E}{\delta \psi_n^*(y)} \frac{\delta \psi_n^*(y)}{\delta \phi_c(x)} \end{aligned} \quad (148)$$

$$\frac{\delta E}{\delta \omega} = \frac{C_n}{2} \left(1 - 2\omega_n \int dx \psi_n^2 \right) = 0, \quad (149)$$

$$\frac{\delta E}{\delta \psi_n(y)} = \frac{C_n}{2} \left((-\omega_m^2 - \nabla^2) \psi_n + g (3\phi_c^2 - c^2) \psi_n + 3g \left(\sum_n C_n \psi_n^2 \right) \psi_n \right) = 0, \quad (150)$$

$$\frac{\delta E}{\delta \psi_n^*(y)} = \frac{C_n}{2} \left((-\omega_m^2 - \nabla^2) \psi_n^* + g (3\phi_c^2 - c^2) \psi_n^* + 3g \left(\sum_n C_n \psi_n^2 \right) \psi_n^* \right) = 0, \quad (151)$$

Variational Principle

Hence,

$$\frac{\delta E}{\delta \phi_c(x)} = \left(\frac{\delta E}{\delta \phi_c(x)} \right)_{\text{explicit}} \quad (152)$$

$$= -\nabla^2 \phi_c + g (\phi_c^2 - c^2) \phi_c + 3g \sum_n C_n \psi_n^2 \phi_c \quad (153)$$

$$= -\nabla^2 \phi_c + g (\phi_c^2 - c^2) \phi_c + 3g \langle \phi_q^2 \rangle \phi_c = 0 \quad (154)$$

Renormalization

$$\Delta E(\text{unnormalized}) \equiv E(\phi_c) - E(c) \quad (155)$$

$$\begin{aligned} &= \int dx \left[\frac{1}{2} \dot{\phi}_c^2 + \frac{1}{2} (\nabla \phi_c)^2 + \frac{1}{4} g (\phi_c^2 - c^2)^2 \right] \\ &+ \sum_n \frac{1}{2} \omega_n(\phi_c) - \frac{3}{4} g \int dx \left(\sum_n C_n \psi_n(\phi_c)^2 \right)^2 \\ &- \sum_n \frac{1}{2} \omega_n(c) + \frac{3}{4} g \int dx \left(\sum_n C_n \psi_n(c)^2 \right)^2 \end{aligned} \quad (156)$$

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