

**2024, 2/23**

# **Introduction to relativistic thermodynamics based on action principle**

**Korea National University of Transportation,  
Hyeong-Chan Kim,**

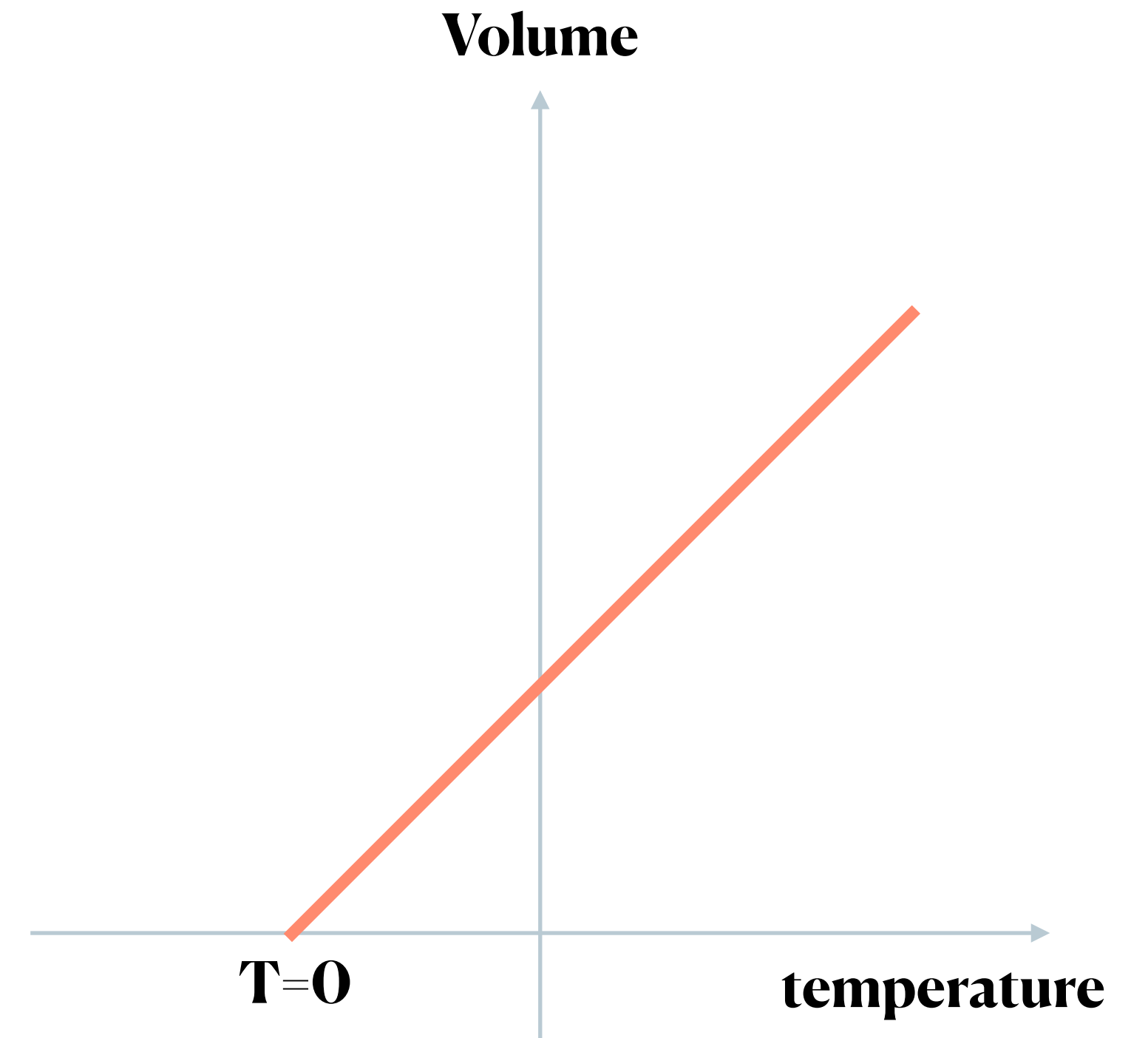
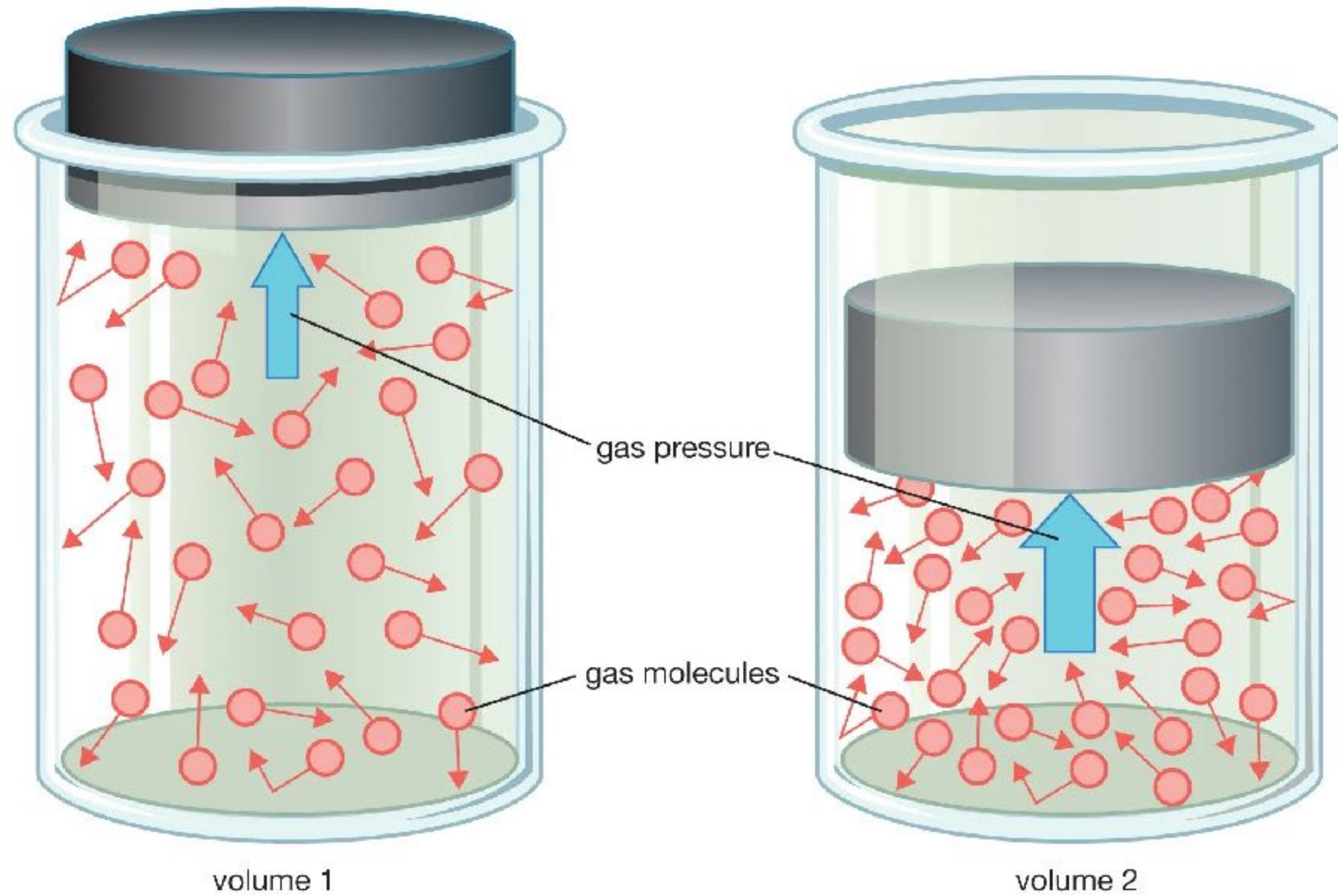
**Chungju city, Republic of Korea**

# Thermodynamics of ideal gas (example)

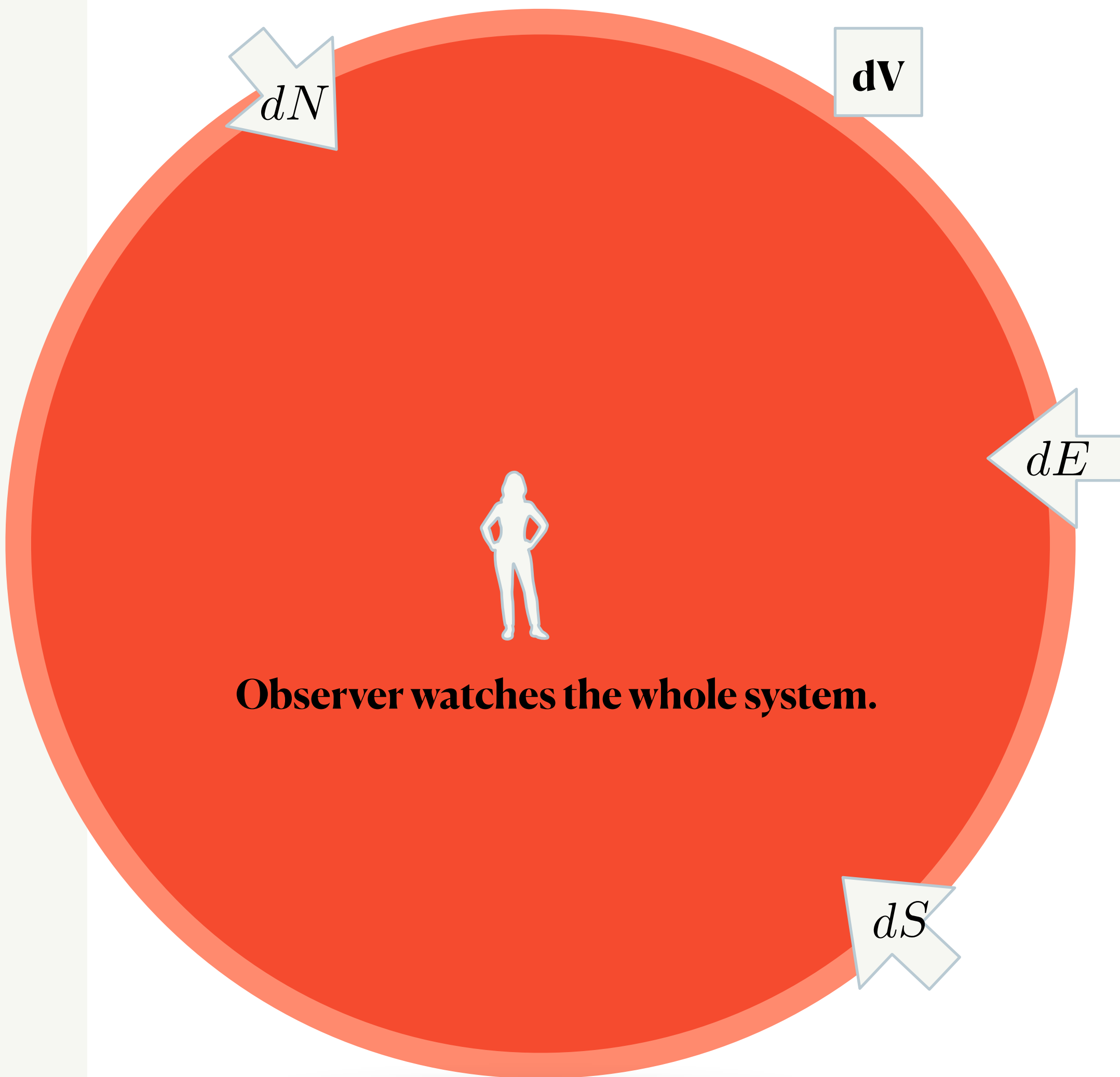
Pressure      Temperature

$$\Psi V = N k_B \Theta$$

Ideal gas law



# Thermal equilibrium



**Temperature is homogeneous.**

**First law of thermodynamics:**

$$dE = \Theta dS - \Psi dV + \chi dN$$

**Temperature**

**Pressure**

**Chemical potential**

# The Newtonian theory of heat propagation

Heat equation:

$$\vec{q} = -\kappa \nabla \Theta,$$

Evolution of temperature distribution:

$$\frac{\partial \Theta}{\partial t} = -\frac{1}{c_V} \nabla \cdot \vec{q},$$

Heat

Temperature

Heat conductivity

specific heat for constant volume

Combining the two, the resulting heat equation takes **parabolic form**, making causality problem(?).

In Newtonian theory of thermodynamics, information propagates with infinite speed.

To rectify this deficiency, Cattaneo and others introduced a small positive time parameter:

$$\text{Cattaneo equation: } \vec{q} + \tau_R \frac{\partial \vec{q}}{\partial t} = -\kappa \nabla \Theta,$$

relaxation time scale of a medium

This equation **restores causality** at the cost of introducing a term that **does not come from underlying microphysics**.

Note that gravity is a local theory.

In general, there is **NO general relativistic rules** on how the local thermodynamic parameters connects with some notion of global parameters.

However, with various assumptions, we can proceed.

# Process for relativistic thermodynamics

1) Write in terms of densities (localize)

2) Rewrite the densities into vector fluxes

3) Construct action and find variational relation describing thermodynamics

4) Apply the 2nd law of thermodynamics

# Going to relativistic thermodynamics: 1) writing in terms of densities

## 1) Write in terms of densities (localize)

eg, ideal gas law,

$$\Psi V = N k_B \Theta \quad \text{Set } k_B = 1.$$

$$\Rightarrow \Psi = n \Theta$$

$$dE = \Theta dS - \Psi dV + \chi dN \quad \text{Here, } S, V, N \text{ are extensive quantities.}$$

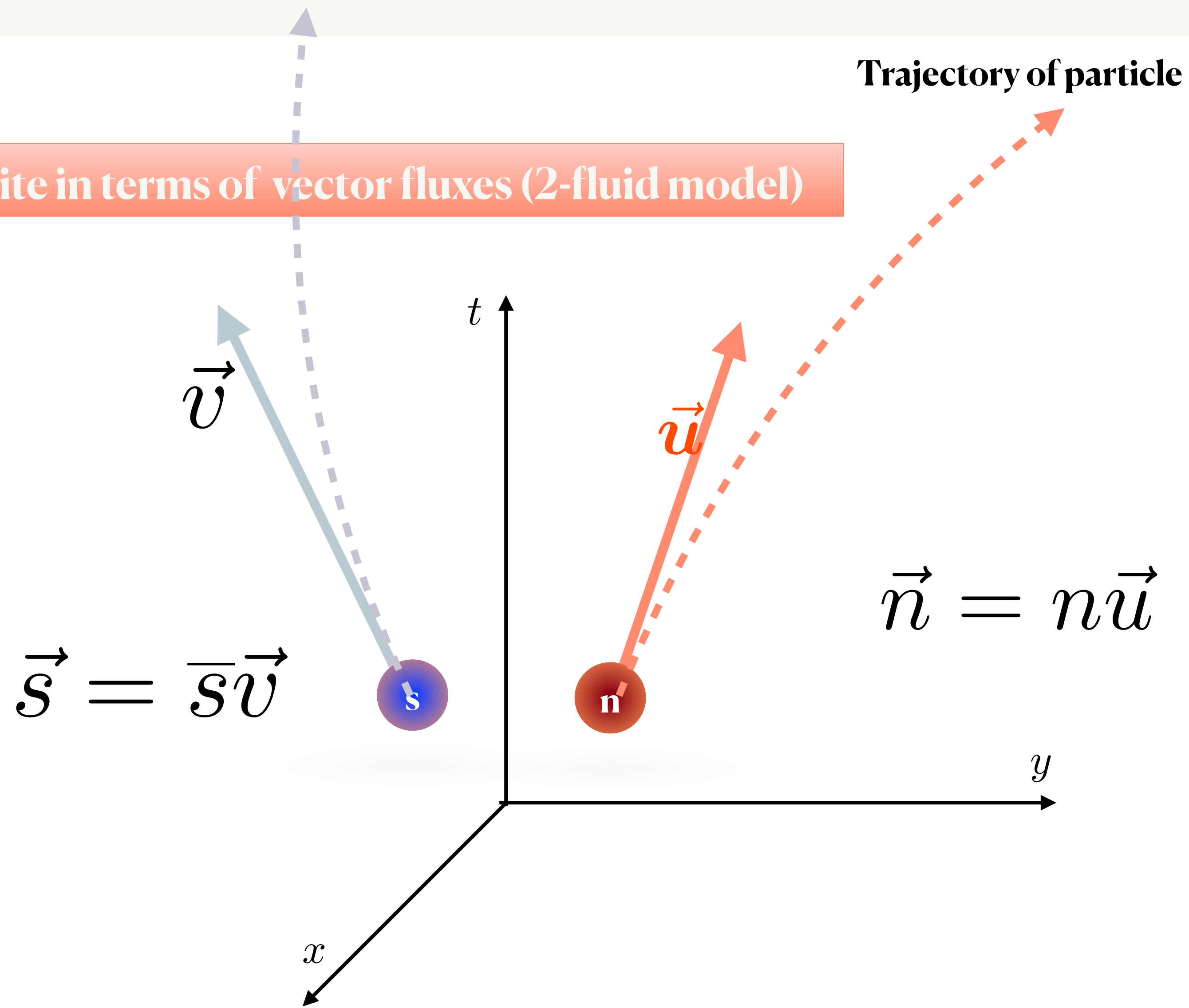
We can use scaling symmetry  $Q \rightarrow \lambda Q$  for extensive quantity to get

$$\lambda(dE - \Theta dS + \Psi dV - \chi dN) + d\lambda(E + \Psi V - \chi N - \Theta S) = 0$$

$$\Rightarrow \boxed{\rho + \Psi = \Theta s + \chi n} \Rightarrow d\rho = \Theta ds + \chi dn$$

**Then, the densities must be a scalar density measured by a comoving observer with the fluid element.**

2) Write in terms of vector fluxes (2-fluid model)





**Eckart frame: Usually, one choose the number flux direction to be parallel to the time direction of comoving observer.**

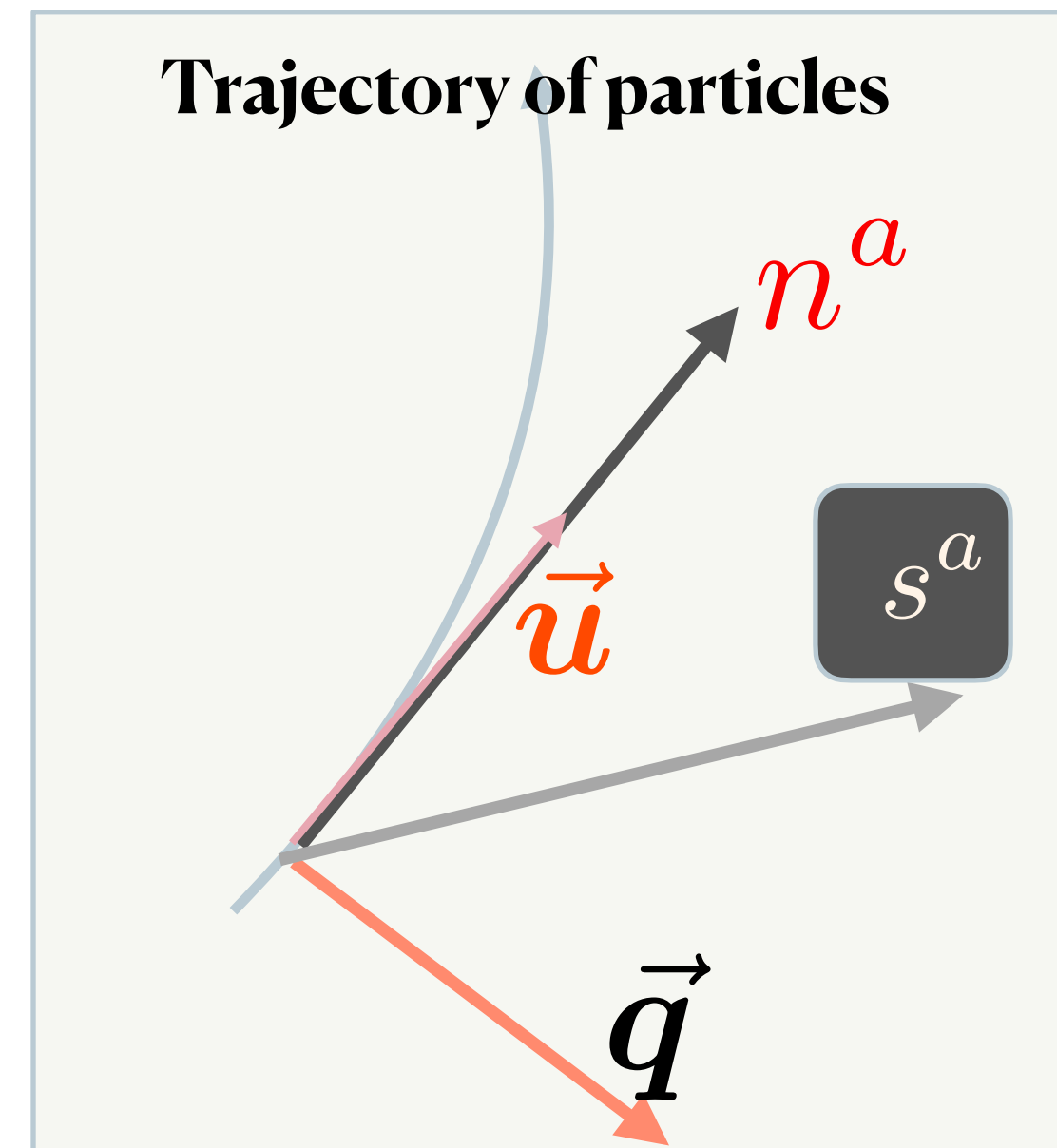
$$u^a = \frac{n^a}{n}$$

$$s^a = su^a + \frac{q^a}{\Theta},$$

Heat flux

Entropy wrt a comoving observer

$$q^a u_a = 0.$$



**Why this 'q' is heat flux?**

**Later when we write the stress tensor, we find that the  $T_{\{0i\}}$  component is expressed by the 'q' part.**

# Process for relativistic thermodynamics

## 3) Construct action and find variational relation

(later pages)

One of the main results is

First law of thermodynamics:

$$d\rho(n, s, \vartheta) = \chi dn + \Theta ds + \varsigma d\vartheta,$$

$$\vartheta \equiv \beta q, \quad \varsigma = \frac{q}{\Theta}$$

Heat

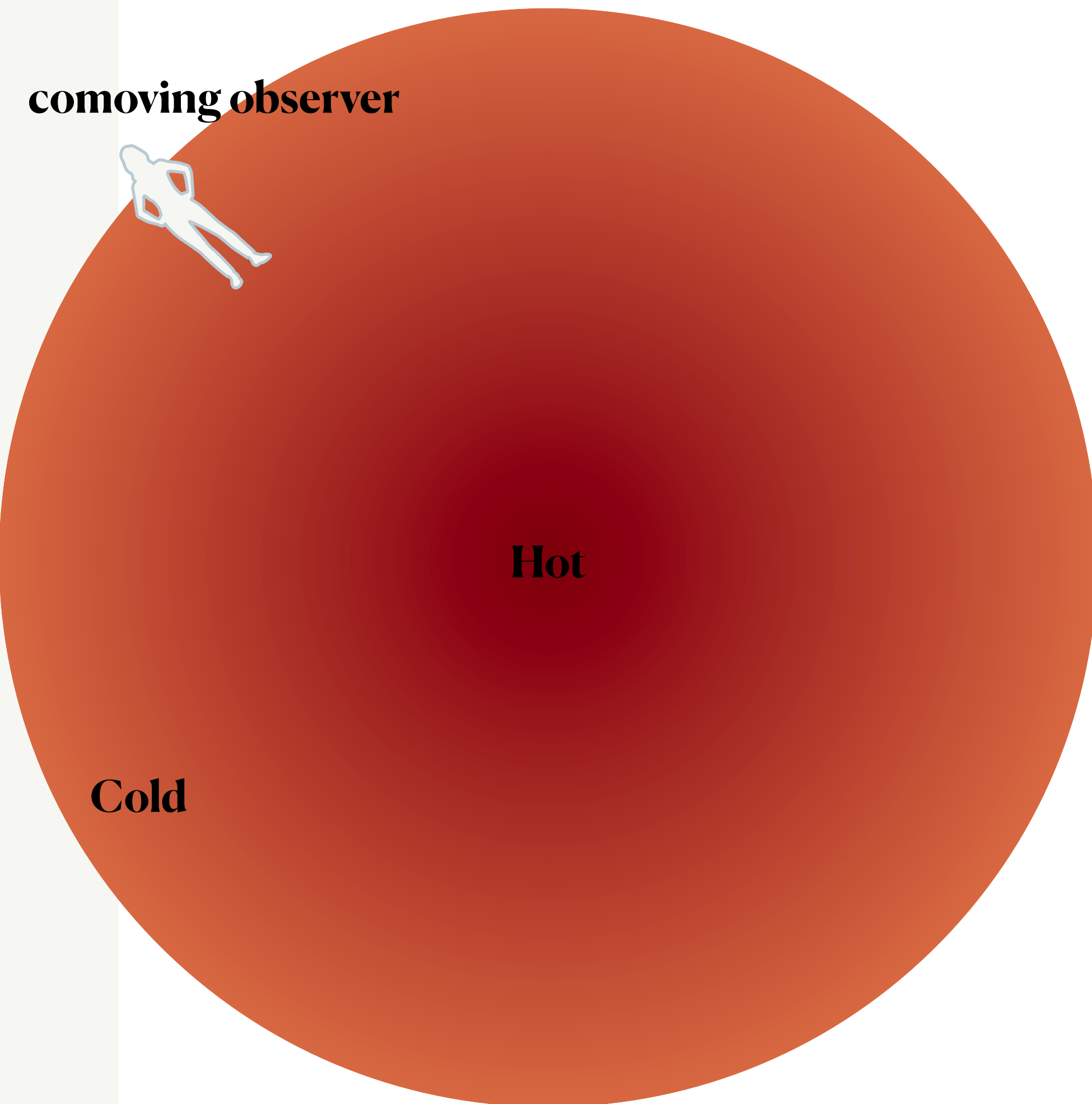
(conjecture) Extended irreversible thermodynamics (Jou et.al., 1993)

Correction from thermal equilibrium due to heat

This result naturally signifies the heat dependent energy density!

$$\rho(n, s, \vartheta)$$

# An interesting result of relativistic thermodynamics:



**Thermal equilibrium**

No heat etc

**Static system**

Geometry is static.

Temperature, energy density

etc are time-independent.

**Tolman  
temperature**

In the presence of gravity, the zeroth law of thermodynamics will be violated!

DECEMBER 15, 1930

PHYSICAL REVIEW

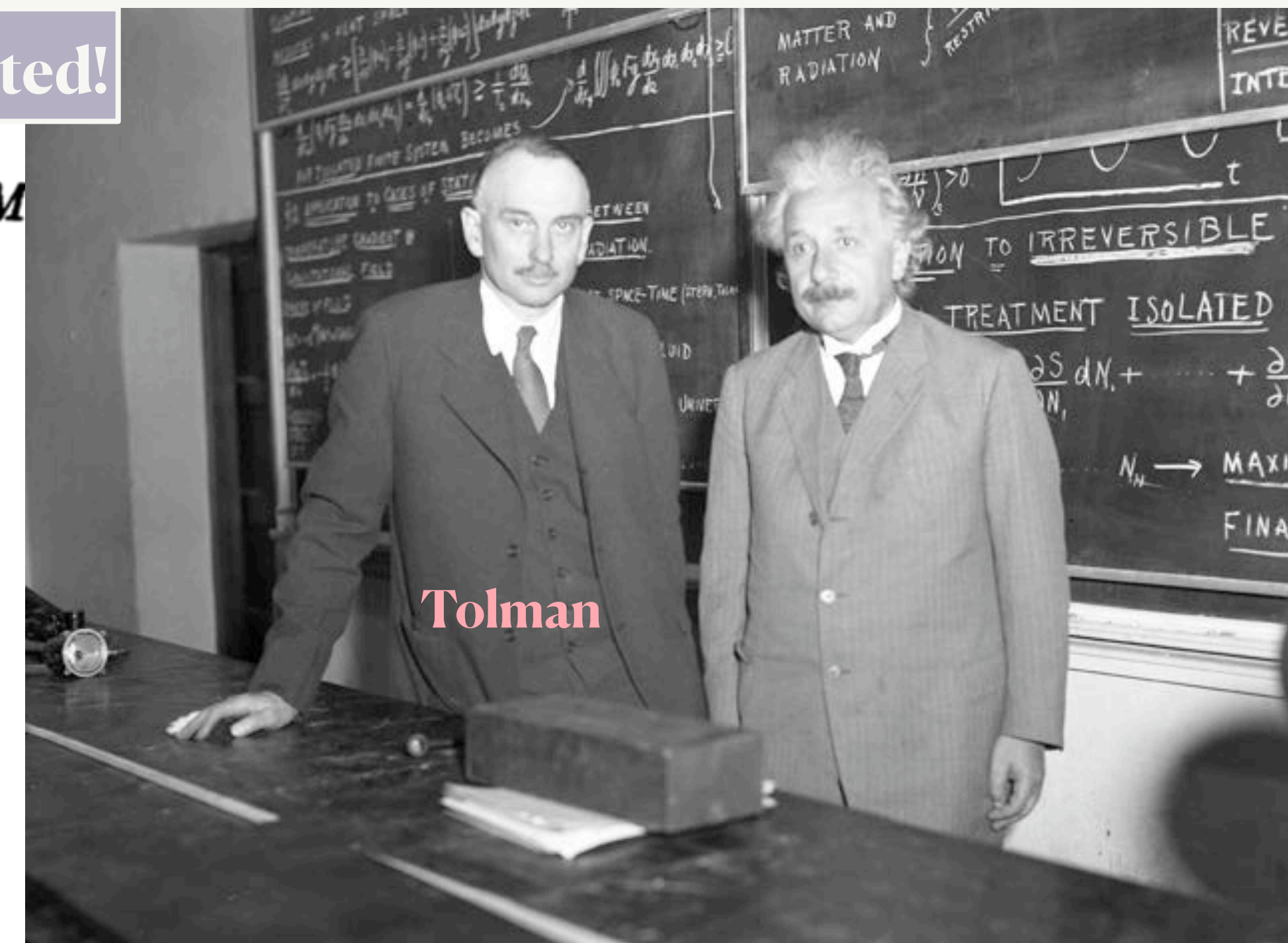
VOLUME

**Tolman** TEMPERATURE EQUILIBRIUM IN A STATIC GRAVITATIONAL FIELD

BY RICHARD C. TOLMAN AND PAUL EHRENFEST

NORMAN BRIDGE LABORATORY OF PHYSICS, PASADENA, CALIFORNIA

(Received October 27, 1930)



In the case of a gravitational dynamic equilibrium, it has been shown that the temperature as measured by a local observer is not constant throughout the system. The conditions of thermal equilibrium in a static gravitational field which could be maintained throughout the system are considered. Writing the line element for the general static field in the form

$$\Theta(x^i) = \frac{T_\infty}{\sqrt{-g_{00}(x^i)}},$$

$$ds^2 = g_{ij}dx_i dx_j + g_{44}dt^2 \quad i, j = 1, 2, 3,$$

where the  $g_{ij}$  and  $g_{44}$  are independent of the time  $t$ , it is shown that the dependence of proper temperature on position at thermal equilibrium is such as to make the quantity  $T_0 \sqrt{g_{44}}$  a constant throughout the system.

**Thermal equilibrium,  
Static geometry.**

# Gravity's universality: The physics underlying Tolman temperature gradients

May, 2018, Int. J. Mod. Phys. D

A main supporting argument is

European Journal of Physics

PAPER

Tolman temperature gradients in a

Jessica Santiago<sup>2,1</sup>  and Matt Visser<sup>1</sup> 

Published 18 February 2019 • © 2019 European Physical Soc

[European Journal of Physics](#), [Volume 40](#), [Number 2](#)

Citation Jessica Santiago and Matt Visser 2019 *Eur. J. Phys.*

## Jessica Santiago\* and Matt Visser

*School of Mathematics and Statistics, Victoria University of Wellington;  
PO Box 600, Wellington 6140, New Zealand.*

*E-mail:*  [{jessica.santiago,matt.visser}@sms.vuw.ac.nz](mailto:{jessica.santiago,matt.visser}@sms.vuw.ac.nz)

ABSTRACT:

We provide a simple and clear verification of the physical need for temperature gradients in equilibrium states when gravitational fields are present. Our argument will be built in a completely *kinematic* manner, in terms of the *gravitational red-shift/blue-shift* of light, together with a relativistic extension of Maxwell's two column argument. We conclude by showing that it is the *universality* of the gravitational interaction (the uniqueness of *free-fall*) that ultimately permits Tolman's equilibrium temperature gradients without any violation of the laws of thermodynamics.

[+ Article information](#)

Abstract

Tolman's relation for the temperature gradient in an equilibrium state is broadly accepted within the general relativity community. However, the relation is not self-consistent. The relation is only valid in thermal equilibrium and it contradicts *naive* versions of the laws of classical thermodynamics.

If **the temperature gradient is dependent on the matter kinds**, the radiated photons from the above and from the bottom may not have the same temperature.

Then, By putting some photo-tube which connect the top and the bottom one can construct a permanent engine.

To **avoid a permanent engine**, the Tolman temperature gradient must be independent of the matter contents.

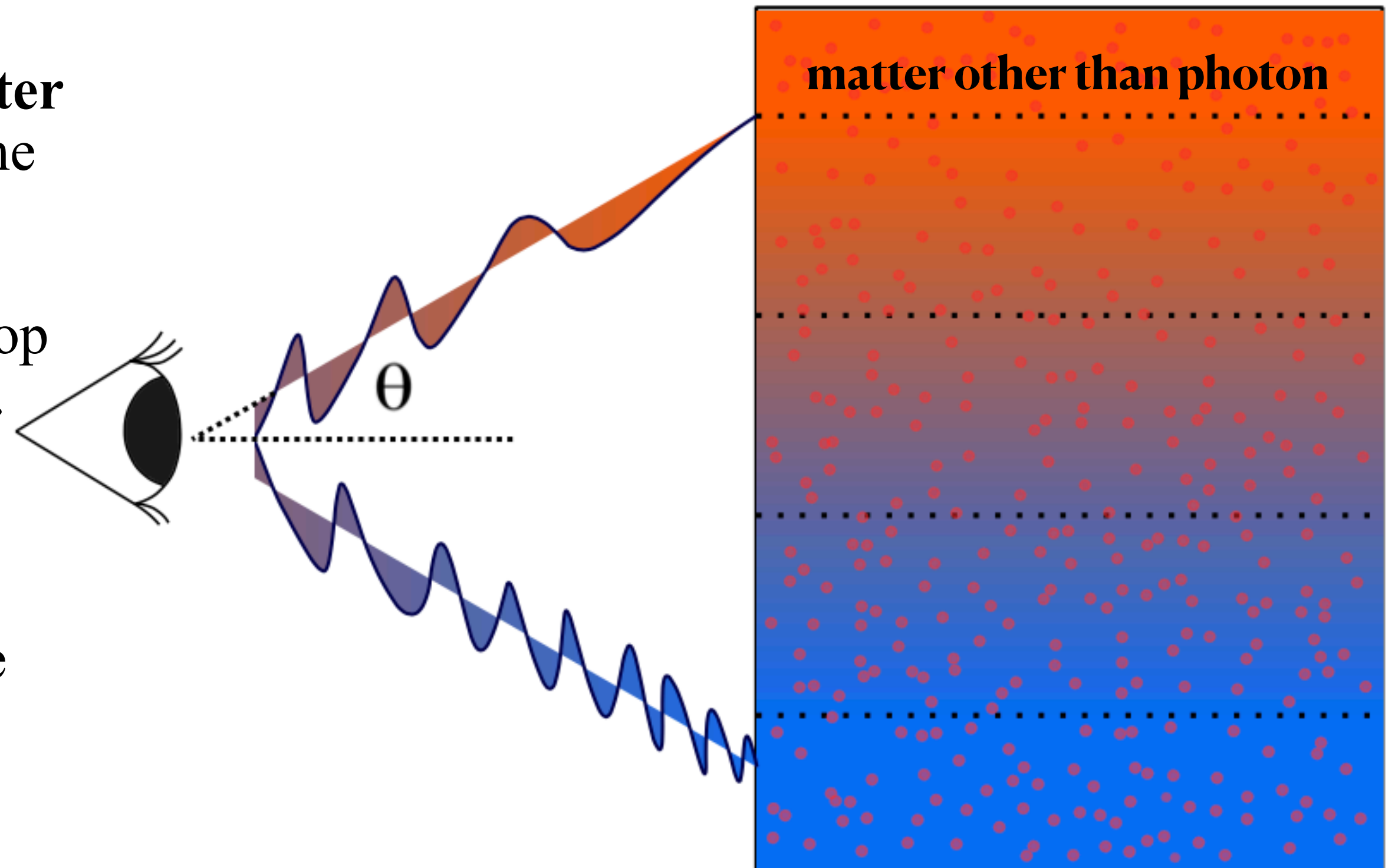


Figure 2. External observer looking at photons leaking from the box containing the photon gas, with the photons arriving at some angle to the vertical.

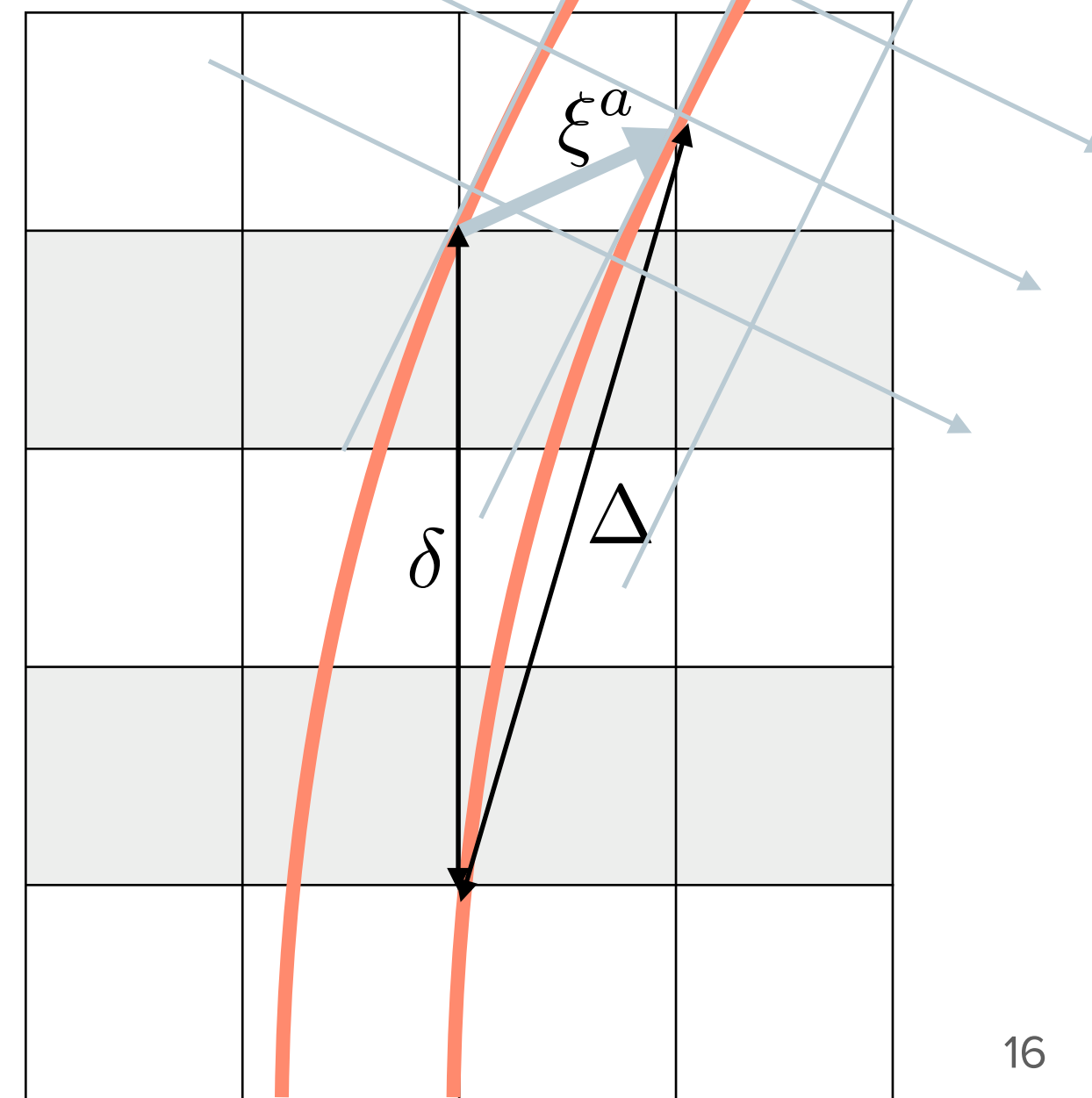
# Variational formulation for Fluid dynamics: One fluid case:



# Eulerian variation and Lagrangian variation

- **Eulerian** ( $\delta$ ): An army of observers at rest with respect to a generic frame of reference make notes of the evolution as the various fluid elements intersect their worldlines. Therefore,  $\delta Q$  is a change in  $Q$  at fixed spacetime point.
- **Lagrangian** ( $\Delta$ ): Each observer attaches him to a particular fluid element and monitors how that element changes. Therefore,  $\Delta Q$  is a variation of the field wrt to a frame dragged along by  $\zeta^a$  ( $x^a \rightarrow x^a + \zeta^a$ ).

$$\Delta = \delta + \mathcal{L}_\xi$$

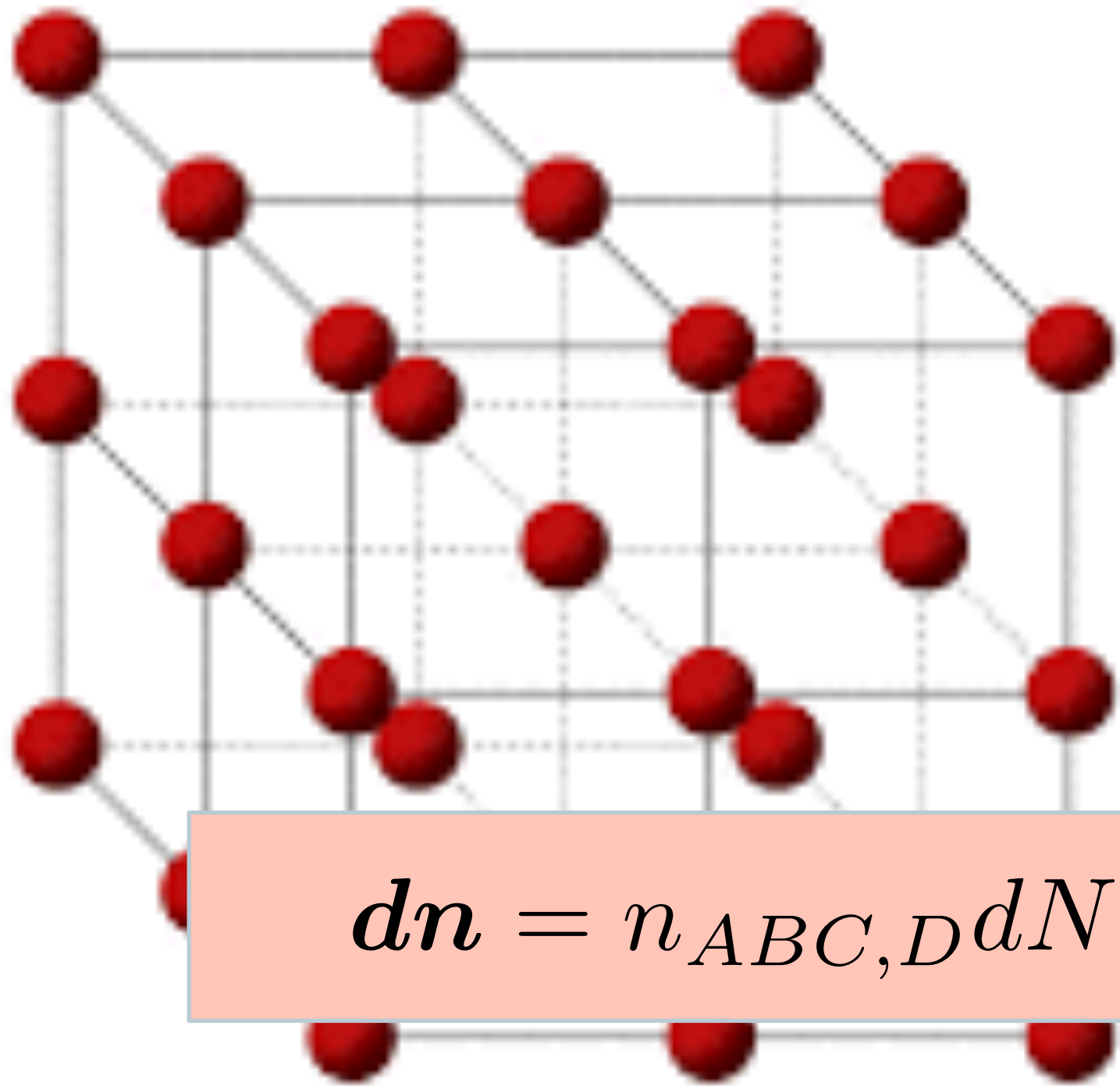


See Ref. **Covariant thermodynamics & Relativity**

by **Cesar Simon Lopez-Monsalvo (2011)**



## The matter space (3D)



Particles

$N^A,$

$$A \wedge B \equiv \frac{1}{2!} (A \otimes B - B \otimes A)$$

$$n_{[ABC]} \equiv \frac{1}{3!} (n_{ABC} - n_{ACB} + n_{CAB} - n_{CBA} + n_{BCA} - n_{BAC})$$

define a 3-form field, which depends only on the matter space coordinates.

$$\mathfrak{n} \equiv n_{ABC} dN^A \wedge dN^B \wedge dN^C$$

$$d\mathfrak{n} = n_{ABC,D} dN^D \wedge dN^A \wedge dN^B \wedge dN^C = n_{[ABC,D]} dN^D \wedge dN^A \wedge dN^B \wedge dN^C$$

Because,  $n_{ABC}$  is a completely antisymmetric on ABC,

$$d\mathfrak{n} = 0$$

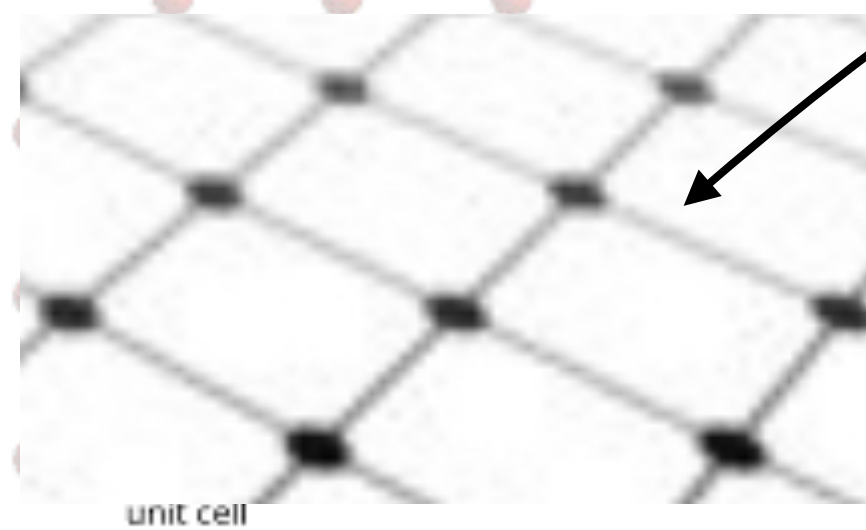
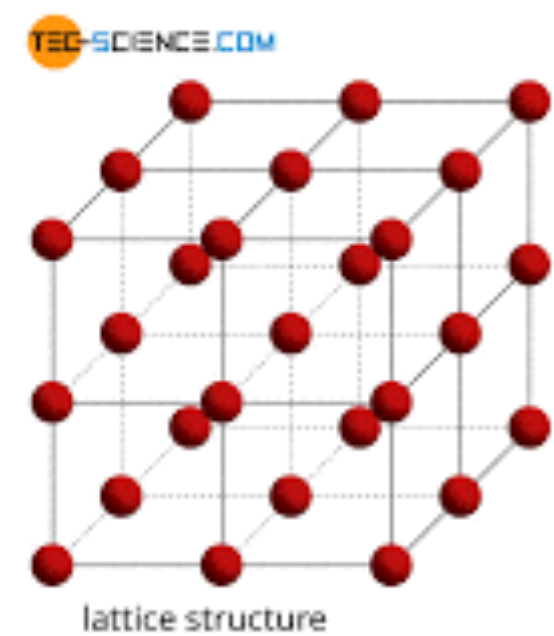
# The variational formulation: One fluid

Carter(1989)

## The particle number conservation:

The matter space (3D)

$N^A, A = 1, 2, 3$

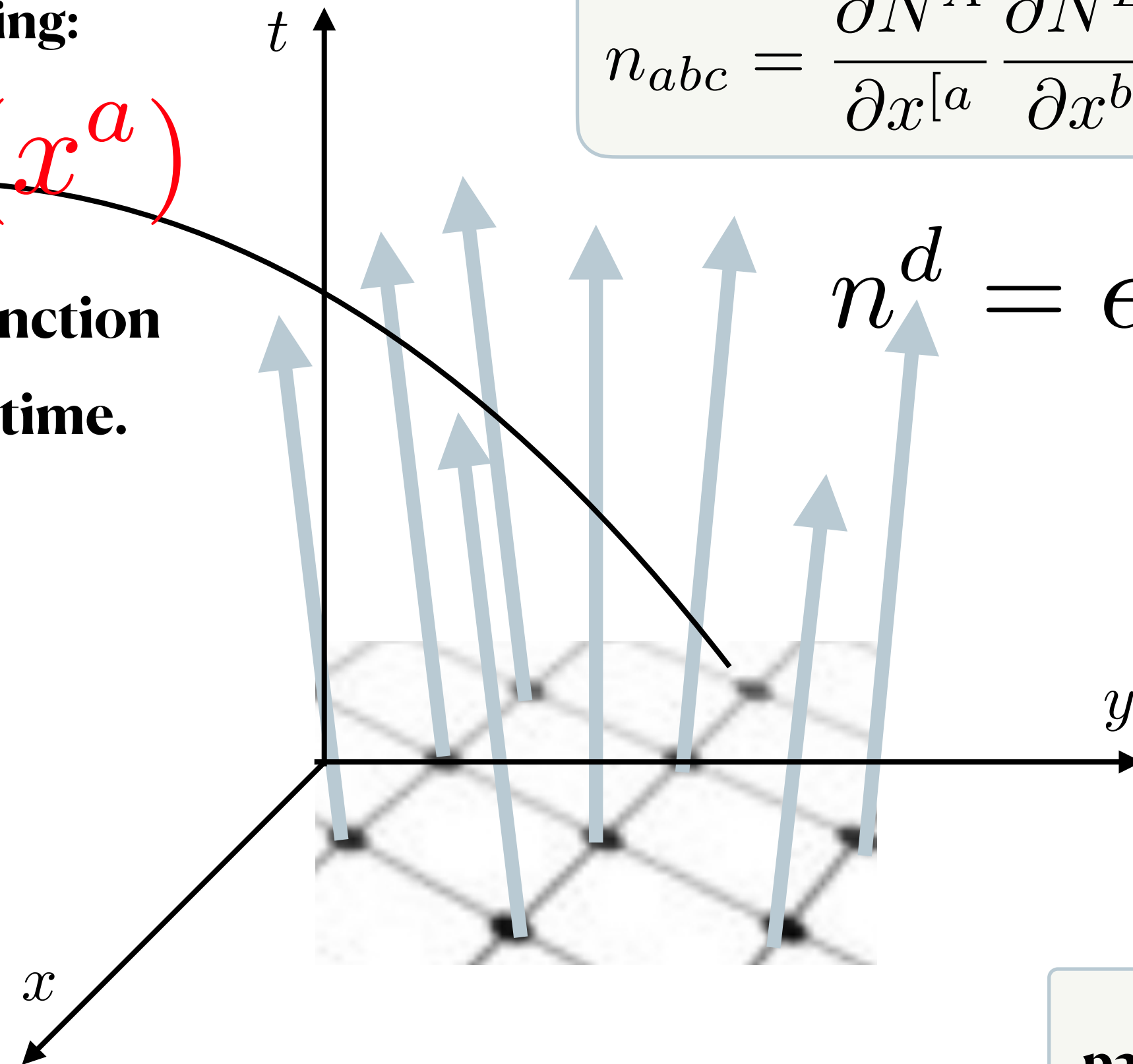


$$n_{ABC}(N^D)$$

Mapping:

$$N^A(x^a)$$

a scalar function  
on spacetime.



$$n_{abc} = \frac{\partial N^A}{\partial x^a} \frac{\partial N^B}{\partial x^b} \frac{\partial N^C}{\partial x^c} n_{ABC}$$

$$n^d = \epsilon^{dabc} n_{abc}$$

particle number!

$$dn = 0 \quad \longrightarrow \quad \nabla_{[a} n_{bcd]} = 0 \quad \longrightarrow \quad \nabla_a n^a = 0.$$

By construction, the particle number is conserved.

4. Number density 3-form from matter space to spacetime 3-form:

$$n_{abc} = \frac{\partial N^A}{\partial x^a} \frac{\partial N^B}{\partial x^b} \frac{\partial N^C}{\partial x^c} n_{ABC}$$

Here  $n_{ABC}$  is antisymmetric and provides matter space with a geometric structure.

$$\blacktriangleright \mathbf{n} \equiv n_{ABC} dN^A \wedge dN^B \wedge dN^C = n_{ABC} \left( \frac{\partial N^A}{\partial x^a} dx^a \right) \wedge \left( \frac{\partial N^B}{\partial x^b} dx^b \right) \wedge \left( \frac{\partial N^C}{\partial x^c} dx^c \right) \quad (5)$$

This gives the above result. If integrated over a volume in matter space, it gives a measure of the number of particles in that volume. The matter space to construct the three-forms are automatically closed on spacetime:

$$\begin{aligned} \blacktriangleright \nabla_{[a} n_{bcd]} &= \nabla_{[a} \frac{\partial N^B}{\partial x^b} \frac{\partial N^C}{\partial x^c} \frac{\partial N^D}{\partial x^d} n_{BCD} \\ &= \frac{\partial^2 N^B}{\partial x^a \partial x^b} \frac{\partial N^C}{\partial x^c} \frac{\partial N^D}{\partial x^d} n_{BCD} + \frac{\partial N^A}{\partial x^a} \frac{\partial N^B}{\partial x^b} \frac{\partial N^C}{\partial x^c} \frac{\partial N^D}{\partial x^d} \frac{\partial n_{BCD}}{\partial N^A} \\ &= 0 \blacksquare \end{aligned} \quad \begin{array}{l} \text{0 by symmetry} \\ \text{0 } N \text{ space is 3 dim} \end{array} \quad (6)$$

Here,  $n_{ABC}$  is a function of  $N^A$  only. Note that

$$\frac{dN^A}{d\tau} = u^a \nabla_a N^a = n^{-1} \frac{1}{3!} \epsilon^{abcd} n_{bcd} \nabla_a N^A = \frac{n^{-1}}{3!} \epsilon^{abcd} \nabla_a N^A \nabla_b N^B \nabla_c N^C \nabla_d N^D n_{BCD} = 0. \quad (7)$$

5. Introduce **Lagrangian displacements**  $\xi^a$ : Then, we have

$$0 = \Delta N^A = \delta N^A + \mathcal{L}_\xi N^A \quad \rightarrow \quad \delta N^A = -\mathcal{L}_\xi N^A = -\xi^a \frac{\partial N^A}{\partial x^a}. \quad (8)$$

Here, we use the fact that  $N^A$  is a scalar function on spacetime,  $x$ .

6. After some algebra, one find

$$\Delta = \delta + \mathcal{L}_\xi$$

$$\Delta n_{abc} = 0.$$

$$\begin{aligned} \blacktriangleright \delta n_{abc} &= \left( \frac{\partial n_{ABC}}{\partial N^D} \nabla_a N^A \nabla_b N^B \nabla_c N^C \right) \delta N^D \\ &+ n_{ABC} [\nabla_a \delta N^A \nabla_b N^B \nabla_c N^C + \nabla_a N^A \nabla_b \delta N^B \nabla_c N^C + \nabla_a \delta N^A \nabla_b N^B \nabla_c \delta N^C] \\ &= -\xi^d \left( \frac{\partial n_{ABC}}{\partial N^D} \nabla_a N^A \nabla_b N^B \nabla_c N^C \nabla_d N^D \right) \\ &- n_{ABC} [(\xi^d \nabla_a \nabla_d N^A + (\nabla_d N^A)(\nabla_a \xi^d)) \nabla_b N^B \nabla_c N^C \\ &\quad + \nabla_a N^A (\xi^d \nabla_b \nabla_d N^B + (\nabla_d N^B)(\nabla_b \xi^d)) \nabla_c N^C \\ &\quad + \nabla_a N^A \nabla_b N^B (\xi^d \nabla_c \nabla_d N^C + (\nabla_d N^C)(\nabla_c \xi^d))] \\ &= -\xi^d \{ (\nabla_d n_{ABC}) [\nabla_a N^A \nabla_b N^B \nabla_c N^C] + n_{ABC} \nabla_d [(\nabla_a N^A)(\nabla_b N^B)(\nabla_c N^C)] \} \\ &- n_{ABC} [(\nabla_d N^A) \nabla_b N^B \nabla_c N^C (\nabla_a \xi^d) + \nabla_a N^A \nabla_d N^B \nabla_c N^C (\nabla_b \xi^d) + \nabla_a \delta N^A \nabla_b N^B \nabla_d N^C (\nabla_c \xi^d)] \\ &= -\xi^d \nabla_d n_{abc} - [n_{dbc} (\nabla_a \xi^d) + n_{adc} (\nabla_b \xi^d) + n_{abd} (\nabla_c \xi^d)] \\ &= -\mathcal{L}_\xi n_{abc}. \quad \blacksquare \end{aligned} \quad (9)$$

which in turn implies

$$\delta n^a = n^b \nabla_b \xi^a - \xi^b \nabla_b n^a - n^a \left( \nabla_b \xi^b + \frac{1}{2} g^{bc} \delta g_{bc} \right).$$

**Proof: next page**

where in the second equality, we use, using Eq. (8),

$$\nabla_a \delta N^A = -\nabla_a [(\nabla_d N^A) \xi^d] = -(\nabla_a \nabla_d N^A) \xi^d - (\nabla_d N^A) (\nabla_a \xi^d),$$

Now,

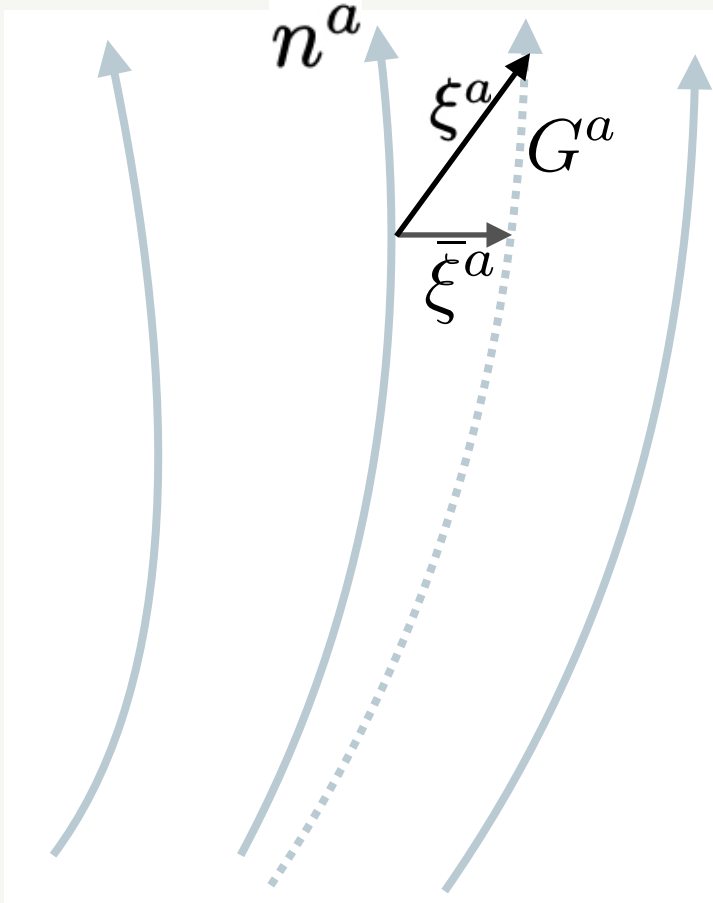
$$\begin{aligned} \delta n^a &= \frac{1}{3!} \delta [\epsilon^{abcd} n_{bcd}] = \frac{1}{3!} (\delta \epsilon^{abcd}) n_{bcd} + \frac{1}{3!} \epsilon^{abcd} \delta n_{bcd} \\ &= -\frac{1}{3!} \epsilon^{abcd} n_{bcd} \frac{1}{2} g^{ef} \delta g_{ef} + \frac{1}{3!} \epsilon^{abcd} \{-\xi^e \nabla_e n_{bcd} - [n_{ecd} (\nabla_b \xi^e) + n_{bed} (\nabla_c \xi^e) + n_{bce} (\nabla_d \xi^e)]\} \\ &= -n^a \frac{1}{2} g^{bc} \delta g_{bc} - \xi^e \nabla_e n^a + (\delta_e^a n^b - n^a \delta_e^b) (\nabla_b \xi^e) \\ &= n^b \nabla_b \xi^a - \xi^b \nabla_b n^a - n^a (\nabla_b \xi^b + \frac{1}{2} g^{bc} \delta g_{bc}) \\ &= -\mathcal{L}_\xi n^a - n^a (\nabla_b \xi^b + \frac{1}{2} g^{bc} \delta g_{bc}). \end{aligned} \tag{10}$$

Here we use Eqs. (4), (75), and Eq. (3).

$$\begin{aligned} \delta \sqrt{-g} &= \frac{1}{2} \sqrt{-g} g^{ab} \delta g_{ab}, & \epsilon_{0123} &= \sqrt{-g}, & \epsilon^{0123} &= -\frac{1}{\sqrt{-g}} \\ \epsilon_{abcd} &= \sqrt{-g} [abcd] \rightarrow \delta \epsilon^{abcd} = -\frac{1}{2} \epsilon^{abcd} g^{ef} \delta g_{ef}, \\ \epsilon^{abcd} \epsilon_{aefg} &= -\delta_{efg}^{bcd}, & \epsilon^{abcd} \epsilon_{abef} &= -\delta_{bef}^{bcd} = -2\delta_{ef}^{cd}, & \epsilon^{abcd} \epsilon_{abce} &= -3! \delta_e^d, \end{aligned}$$

# Check for the DOF:

## Congruence of world-lines



8. Check for the degrees of freedom: **Pull-back construction has 3 dof.** Lagrangian variation has 4-dof. Gauge freedom in the Lagrangian variation that can be used to reduce the # of indep comps:

$$\xi^a = \bar{\xi}^a + G^a. \quad (15)$$

From Eq. (10), and  $\nabla_a n^a = 0$ , we get

$$\delta n^a = \bar{\delta} n^a - \frac{1}{2} \epsilon^{abcd} \nabla_b (\epsilon_{cdef} n^e G^f) = \bar{\delta} n^a - \delta_{ef}^{ab} \nabla_b (n^e G^f), \quad (16)$$

where  $\bar{\delta}$  denotes the  $\delta n$  using  $\bar{\xi}^a$ . If we set  $G^f = u^f G$ , the last term vanishes.

$$\begin{aligned} \blacktriangleright (\delta - \bar{\delta}) n^a &= \mathcal{L}_{\bar{\xi} - \xi} n^a + n^a \nabla_b (\bar{\xi}^a - \xi^a) = -\mathcal{L}_G n^a - n^a \nabla_b G^b \\ &= -G^b \nabla_b n^a + n^b \nabla_b G^a - n^a \nabla_b G^b = n^b \nabla_b G^a - \nabla_b (n^a G^b) = 2 \nabla_b [n^{[b} G^{a]}] - G^a (\nabla_b n^b) \end{aligned}$$

Now, if we use  $\nabla_a n^a = 0$ , we get Eq. (16). ■

# Variational formulation for Fluid mechanics (one fluid case)

**The action:**  $I = I_{EH} + I_M = \int_R \left( \frac{1}{2\kappa} R + L \right) \sqrt{-g} d^4x, \quad \kappa = \frac{8\pi G}{c^4},$

**The Geometry:**  $G_{ab} = \kappa T_{ab}$

$$L \rightarrow \Lambda \quad \Lambda(n)$$

**For a single particle system of the fluid, the matter Lagrangian,  $\Lambda$ , should be a scalar. If we have a single matter,  $n^a$ , it must be a function of  $n^2 = -g_{ab}n^an^b$ .**

$$\frac{\partial n^2}{\partial g_{ab}} = n^an^b, \quad \frac{\partial n^2}{\partial n^a} = g_{ab}n^b$$

Eg,  $\frac{\partial \Lambda}{\partial n^a} = \frac{1}{2n} \left( \frac{\partial \Lambda}{\partial n} \right) g_{ab}n^b$

Lagrangian density becomes

Contribute to Stress tensor

$$\delta(\sqrt{-g}\Lambda) = \sqrt{-g} \left[ \chi_a \delta n^a + \frac{1}{2} (\Lambda g^{ab} + n^a \chi^b) \delta g_{ab} \right] + \text{total derivatives}$$

$$\chi_a \equiv \frac{\partial \Lambda}{\partial n^a} \quad \text{: The canonical momentum.}$$



Then, the equation of motion becomes,  $\chi_a = 0$ . **This result is not so interesting.**

The reason is that all the variations are not free because of the particle number conservation law.

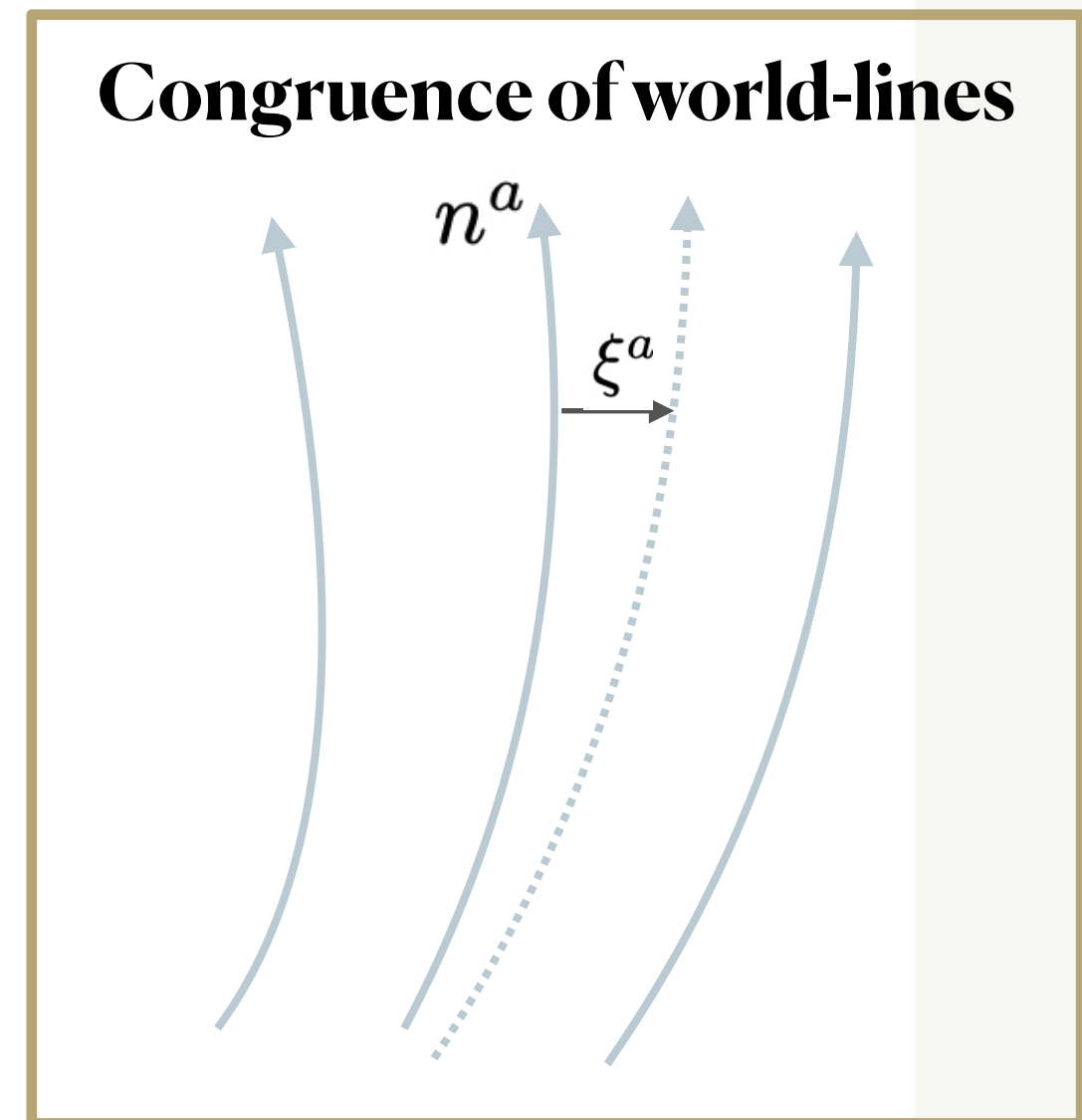
# The (constrained) variational formulation: One fluid 3 Carter(1989)

Back to the variational principle, introduce the Lagrangian displacement,  $\xi^a$   
tracking the motion of the fluid element.

By definition,  $\Delta N^A = \delta N^A + \mathcal{L}_\xi N^A = 0$  Carter(1973)

This naturally determines the variation of the number flux:  $\delta n^a = \frac{1}{3!} \delta(\epsilon^{abcd} n_{bcd})$

$$\delta n^a = n^b \nabla_b \xi^a - \xi^b \nabla_b n^a - n^a \left( \nabla_b \xi^b + \frac{1}{2} g^{bc} \delta g_{bc} \right).$$



Then, the (constrained) variation of the Lagrangian density becomes

$$\delta(\sqrt{-g}\Lambda) = \sqrt{-g} \left[ f_a \xi^a + \frac{1}{2} [(\Lambda - n^c \chi_c) g_{ab} + n_a \chi_b] \delta g^{ab} \right] + \text{total derivatives}$$

$$f_b \equiv 2n^a \nabla_{[a} \chi_{b]} = 0$$

Stress tensor

$$\nabla_a T^{ab} = -f^b$$



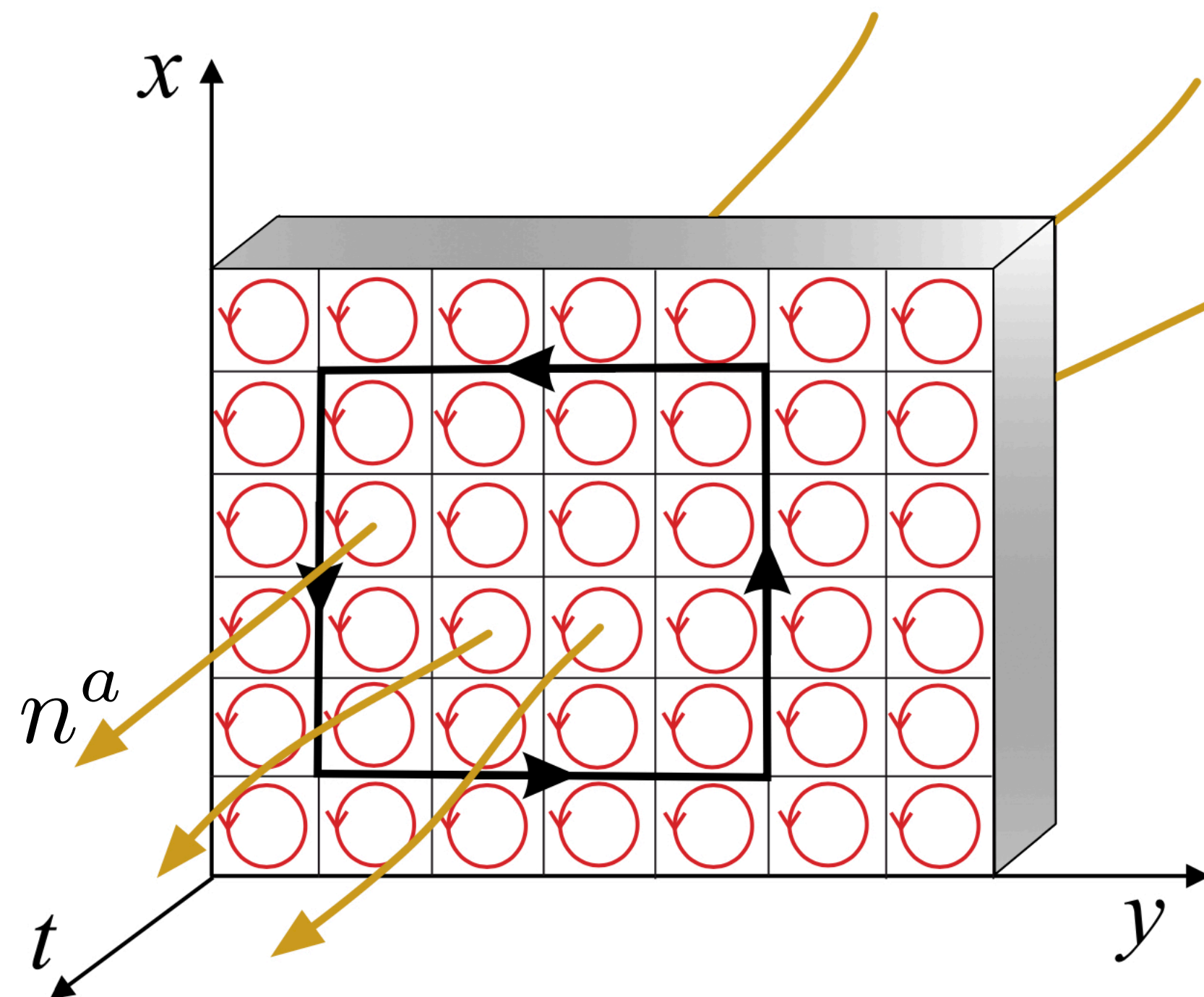
# Interpretation of the equation of motion

The vorticity two-form:  $\omega_{ab} = \nabla_{[a} \chi_{b]}$

$$f_b = n^a \omega_{ab} = 0$$

This equation plays a crucial role in fluid dynamics (Carter 1989, Bekenstein 1987) in explaining turbulence (Pulling and Saffman 1998) and Kelvin-Helemholtz theorem (Landau-Lifschitz 1959).

## Geometrical interpretation of the EOM Andersson & Comer(2021)



Geometrically, the **two-form is a collection of oriented world-tubes**. The four-velocity of the individual fluid element lies inside the world-tube.

Consider the closed black contour. If that contour is attached to fluid-element worldlines, then the number of world-tubes contained within the contour will not change (Kelvin-Helemholtz theorem).

# Helmholtz's theorem:

## Helmholtz's theorem (wiki):

In [fluid mechanics](#), **Helmholtz's theorems**, named after [Hermann von Helmholtz](#), describe the three-dimensional motion of fluid in the vicinity of [vortex](#) lines. These theorems apply to [inviscid flows](#) and flows where the influence of [viscous forces](#) are small and can be ignored.

Helmholtz's three theorems are as follows:<sup>[1]</sup>

### Helmholtz's first theorem

The strength of a vortex line is constant along its length.

**time independent.**

### Helmholtz's second theorem

A vortex line cannot end in a fluid; it must extend to the boundaries of the fluid or form a closed path.

### Helmholtz's third theorem

A fluid element that is initially irrotational remains irrotational.

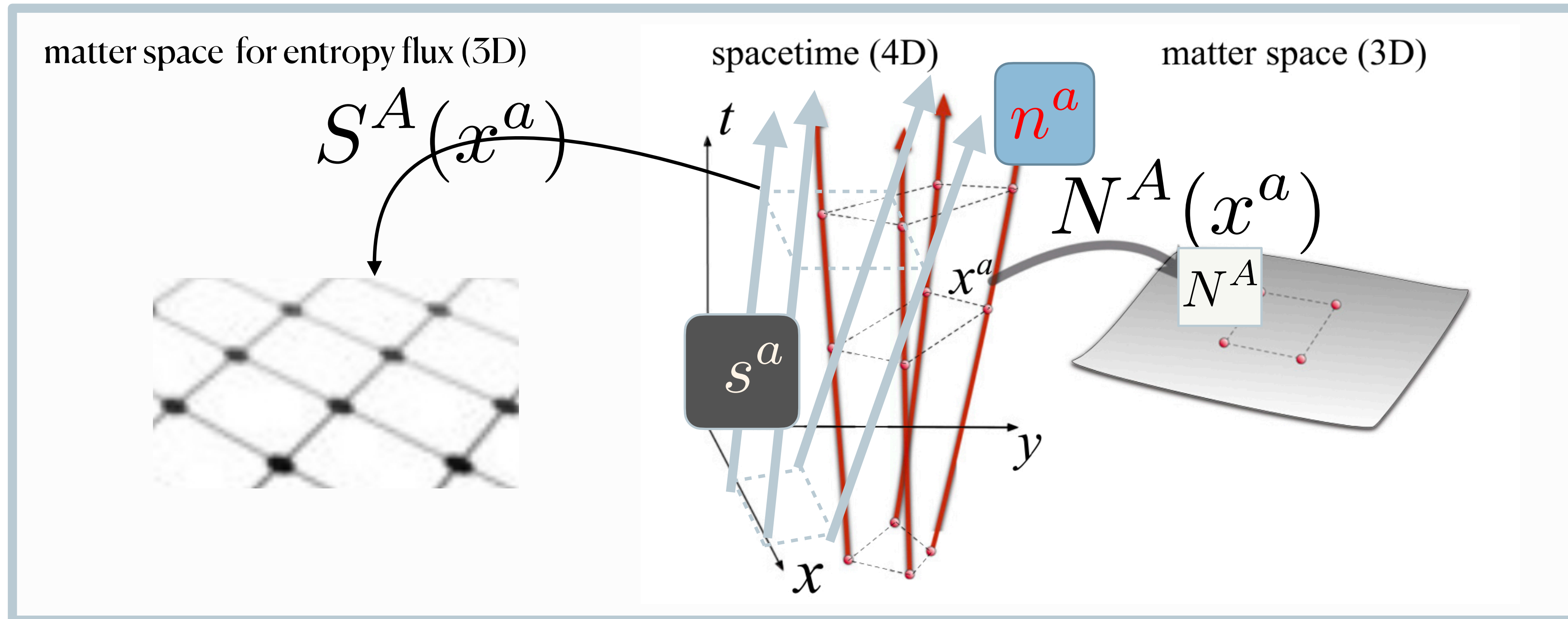
**vortex line moves with the fluid.**

# Dissipative action principle



**The creation rate = 0 is unsatisfactory!**

# Minimal model for heat conduction: Two-fluid model



**Let the three form field  $n$  residing on the matter space  $\{N^A\}$  depend on other matter space  $\{S^E\}$  too:**

$$n \equiv n_{ABC}(N^D, S^E) dN^A \wedge dN^B \wedge dN^C.$$

$$s \equiv s_{ABC}(S^D, N^E) dS^A \wedge dS^B \wedge dS^C$$

## Dissipative action principle

**Let the three form field  $n$  residing on the matter space  $\{N^A\}$  depend on other matter space  $\{S^E\}$  too:**

$$n \equiv n_{ABC}(N^D, S^E) dN^A \wedge dN^B \wedge dN^C.$$

**Then, the creation rate of  $n^a$  does not vanish because of the  $\{S^E\}$  dependence:**

$$\Gamma_N \equiv \nabla_a n^a = \sum_{S \neq N} \frac{1}{3!} \epsilon^{abcd} \frac{\partial S^A}{\partial x^a} \frac{\partial N^B}{\partial x^b} \frac{\partial N^C}{\partial x^c} \frac{\partial N^D}{\partial x^d} \left( \frac{\partial n_{BCD}}{\partial S^A} \right) \neq 0.$$

**The creation rate is determined by how much the three form field depends on the other matter space coordinates.**

# Dissipative action principle

Now, we may introduce a Lagrangian displacement  $\xi_N^a$ , tracking the motion of the fluid element.

From the standard definition of Lagrangian variations,  $\Delta_N \equiv \delta + \mathcal{L}_{\xi_N}$  we have

$$\Delta_N N^A = \delta N^A + \mathcal{L}_{\xi_N} N^A = 0,$$

Then, the matter space variation becomes,

$$\delta n_{bcd} = -\mathcal{L}_{\xi_N} n_{bcd} + \frac{\partial N^B}{\partial x^{[b}} \frac{\partial N^C}{\partial x^c} \frac{\partial N^D}{\partial x^d]} \Delta_N n_{BCD},$$

$$\Delta_N n_{BCD} = \sum_S \frac{\partial n_{BCD}}{\partial S^E} (\xi_N^a - \xi_S^a) \frac{\partial S^E}{\partial x^a}.$$

Straightforward calculation gives,

$$\chi_a \delta n^a = \chi_a \left( n^b \nabla_b \xi_N^a - \xi_N^b \nabla_b n^a - n^a \nabla_b \xi_N^b - \frac{1}{2} n^a g^{bc} \delta g_{bc} \right)$$

$$- \sum_{S \neq N} R_a^{NS} (\xi_S^a - \xi_N^a).$$

$$R_a^{NS} \equiv \frac{1}{3!} \chi_N^{BCD} \frac{\partial n_{BCD}}{\partial S^A} \left( \frac{\partial S^A}{\partial x^a} \right).$$

# Dissipative action principle

Based on the result, we get the variation of the Lagrangian density, (up to total derivatives)

$$\delta(\sqrt{-g}\Lambda) = -\sqrt{-g} \left\{ (f_a^N + \chi_a \Gamma_N - R_a^N) \xi_N^a + (f_a^S + \Theta_a \Gamma_S - R_a^S) \xi_S^a - \frac{1}{2} T^{ab} \delta g_{ab} \right\},$$

where  $T^{ab} \equiv \Psi g^{ab} + (n^a \chi^b + s^a \Theta^b)$  and the pressure is  $\Psi = \Lambda - \chi_a n^a - s^a \Theta_a$  and

$$f_a^N \equiv 2n^b \nabla_{[b} \chi_{a]}, \quad f_a^S \equiv 2s^b \nabla_{[b} \Theta_{a]}, \quad R_a^N \equiv R_a^{SN} - R_a^{NS} = -R_a^S.$$

**Equation of motions:**

$$f_a^N + \Gamma_N \chi_a = R_a^N, \quad f_a^S + \Gamma_S \Theta_a = R_a^S.$$

**Entropy/particle creation rates:**  $\Gamma_S = -\frac{1}{\Theta} s^a R_a^S$      $\Gamma_N = -\frac{1}{\chi} u^a R_a^N$ ,     $\chi \equiv -u^a \chi_a.$

The energy-momentum conservation law is satisfied automatically from the equation of motion

$$\nabla_b T_a^b = f_a^N + f_a^S + \chi_a \Gamma_N + \Theta_a \Gamma_S = 0, \quad \text{because} \quad R_a^N + R_a^S = 0.$$

## Second law of thermodynamics:

$$\Gamma_S = -\frac{1}{\Theta} s^a R_a^S$$

$$s\Theta\Gamma_S = -s^a R_a = s^a R_a^{SN} \geq 0.$$

Introduce privileged observer  $u^a$  such that

$$s^a = su^a + \zeta^a,$$

Beacuse  $R_a^{NS}$  are normal to  $u^a$  and  $s^a$ , we may set

$$R_a^{NS} = \epsilon_{abcd} \phi_n^b u^c \zeta^d.$$

Beacuse  $R_a^{SN}$  is normal to  $u^a$ , we may set

$$R_a^{SN} = R_\zeta \zeta_a + \epsilon_{abcd} \phi_s^b u^c \zeta^d.$$

Resistivity

$$s\Theta\Gamma_S = R_\zeta \zeta^2$$

The second law of thermodynamics becomes  $R_\zeta \geq 0$



## Proof for variational formulation is compatible with non-vanishing creation rate:

Now, we are ready to refute the proof (2). Let us consider two distinct variations generated by  $\xi_N^a$  and  $\bar{\xi}_N^a = \xi_N^a - G_N^a$ . We also introduce two distinct variations for the fluid  $S$  generated by  $\xi_S^a$  and  $\bar{\xi}_S^a = \xi_S^a - G_S^a$ . The difference between the two variations of  $n^a$  becomes

$$\begin{aligned}
 (\delta - \bar{\delta})n^a &= \mathcal{L}_{\bar{\xi} - \xi} n^a + n^a \nabla_b (\bar{\xi}^b - \xi^b) + \frac{n^a}{n\chi} R_e^{NS} (\bar{\xi}_N^e - \xi_N^e - \xi_S^e + \bar{\xi}_S^e) \\
 &= -\delta_{[ef]}^{ab} \nabla_b (n^e G_N^f) - G_N^a \Gamma_N + \frac{n^a}{n\chi} \sum_{S \neq N} R_e^{NS} (-G_N^e + G_S^e).
 \end{aligned} \tag{21}$$

When the two variations are related by their flow directions so that  $G_N^a = G_N n^a$  and  $G_S^a = G_S s^a$ , we find the difference vanishes automatically without any additional requirement:

$$(\delta - \bar{\delta})n^a = -G_N^a \Gamma_N - \frac{G_N n^a}{n\chi} R_e^{NS} n^e = 0, \tag{22}$$

where we use  $s^e R_e^{NS} = 0 = n^e R_e^{SN}$  and Eq. (17). This result allows us to describe systems with  $\nabla_a n^a \neq 0$  by means of the action formulation with dissipation.

## Adding viscosities, etc

Allow  $n_{ABC}^x$  to depend on  $g_S^{AB}$ ?

$$\text{Here, } g_N^{AB} = \left( \frac{\partial N^A}{\partial x^a} \right) \left( \frac{\partial N^B}{\partial x^b} \right) g_{ab}$$

is the induced metric.

**This generalization develops dissipative stresses.**



**Thanks for listening!**